OpenEdge® Getting Started:
Identity Management
### A. Glossary of Terms

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Preface

This Preface contains the following sections:

- Purpose
- Audience
- Organization
- Using this manual
- Typographical conventions
- Examples of syntax descriptions
- OpenEdge messages
- Third party acknowledgements
Purpose

This manual defines identity management and describes the features for managing user identity in OpenEdge.

Audience

Any developer, database administrator, security administrator, software architect, or other person responsible for designing, implementing, or managing secure access to an OpenEdge installation.

Organization

Chapter 1, “What is Identity Management?”

Provides an overview of both identity management and identity management in OpenEdge.

Chapter 2, “How is OpenEdge Identity Managed?”

Provides an overview of the essential processes for managing OpenEdge identity.

Chapter 3, “Configuring and Implementing Authentication in OpenEdge”

Describes the features for configuring and implementing authentication in OpenEdge.

Chapter 4, “Configuring and Implementing Authorization in OpenEdge”

Describes the features for configuring and implementing authorization in OpenEdge.

Appendix A, “Glossary of Terms”

A glossary of terms related to identity management.

Using this manual

OpenEdge provides a special purpose programming language for building business applications. In the documentation, the formal name for this language is ABL (Advanced Business Language). With few exceptions, all keywords of the language appear in all UPPERCASE, using a font that is appropriate to the context. All other alphabetic language content appears in mixed case.

For the latest documentation updates see the OpenEdge Product Documentation Overview page on PSDN:
References to ABL compiler and run-time features

ABL is both a compiled and an interpreted language that executes in a run-time engine. The documentation refers to this run-time engine as the ABL Virtual Machine (AVM). When the documentation refers to ABL source code compilation, it specifies ABL or the compiler as the actor that manages compile-time features of the language. When the documentation refers to run-time behavior in an executing ABL program, it specifies the AVM as the actor that manages the specified run-time behavior in the program.

For example, these sentences refer to the ABL compiler's allowance for parameter passing and the AVM's possible response to that parameter passing at run time: “ABL allows you to pass a dynamic temp-table handle as a static temp-table parameter of a method. However, if at run time the passed dynamic temp-table schema does not match the schema of the static temp-table parameter, the AVM raises an error.” The following sentence refers to run-time actions that the AVM can perform using a particular ABL feature: “The ABL socket object handle allows the AVM to connect with other ABL and non-ABL sessions using TCP/IP sockets.”

References to ABL data types

ABL provides built-in data types, built-in class data types, and user-defined class data types. References to built-in data types follow these rules:

- Like most other keywords, references to specific built-in data types appear in all UPPERCASE, using a font that is appropriate to the context. No uppercase reference ever includes or implies any data type other than itself.

- Wherever integer appears, this is a reference to the INTEGER or INT64 data type.

- Wherever character appears, this is a reference to the CHARACTER, LONGCHAR, or CLOB data type.

- Wherever decimal appears, this is a reference to the DECIMAL data type.

- Wherever numeric appears, this is a reference to the INTEGER, INT64, or DECIMAL data type.

References to built-in class data types appear in mixed case with initial caps, for example, Progress.Lang.Object. References to user-defined class data types appear in mixed case, as specified for a given application example.
Typographical conventions

This manual uses the following typographical conventions:

<table>
<thead>
<tr>
<th>Convention</th>
<th>Description</th>
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<tbody>
<tr>
<td><strong>Bold</strong></td>
<td>Bold typeface indicates commands or characters the user types, provides emphasis, or the names of user interface elements.</td>
</tr>
<tr>
<td><em>Italic</em></td>
<td>Italic typeface indicates the title of a document, or signifies new terms.</td>
</tr>
<tr>
<td><strong>SMALL, BOLD CAPITAL LETTERS</strong></td>
<td>Small, bold capital letters indicate OpenEdge key functions and generic keyboard keys; for example, <strong>GET</strong> and <strong>CTRL</strong>.</td>
</tr>
<tr>
<td><strong>KEY1+KEY2</strong></td>
<td>A plus sign between key names indicates a <em>simultaneous</em> key sequence: you press and hold down the first key while pressing the second key. For example, <strong>CTRL+X</strong>.</td>
</tr>
<tr>
<td><strong>KEY1 KEY2</strong></td>
<td>A space between key names indicates a <em>sequential</em> key sequence: you press and release the first key, then press another key. For example, <strong>ESCAPE H</strong>.</td>
</tr>
<tr>
<td><strong>Syntax:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Fixed width</strong></td>
<td>A fixed-width font is used in syntax, code examples, system output, and filenames.</td>
</tr>
<tr>
<td><em>Fixed-width italics</em></td>
<td>Fixed-width italics indicate variables in syntax.</td>
</tr>
<tr>
<td><em>Fixed-width bold</em></td>
<td>Fixed-width bold italic indicates variables in syntax with special emphasis.</td>
</tr>
<tr>
<td><strong>UPPERCASE fixed width</strong></td>
<td>ABL keywords in syntax and code examples are almost always shown in upper case. Although shown in uppercase, you can type ABL keywords in either uppercase or lowercase in a procedure or class.</td>
</tr>
<tr>
<td><img src="three_arrows.png" alt="icon" /></td>
<td>This icon (three arrows) introduces a multi-step procedure.</td>
</tr>
<tr>
<td><img src="one_arrow.png" alt="icon" /></td>
<td>This icon (one arrow) introduces a single-step procedure.</td>
</tr>
<tr>
<td><strong>Period (.) or colon (:)</strong></td>
<td>All statements except <strong>DO</strong>, <strong>FOR</strong>, <strong>FUNCTION</strong>, <strong>PROCEDURE</strong>, <strong>and REPEAT</strong> end with a period. <strong>DO</strong>, <strong>FOR</strong>, <strong>FUNCTION</strong>, <strong>PROCEDURE</strong>, and <strong>REPEAT</strong> statements can end with either a period or a colon.</td>
</tr>
<tr>
<td>[]</td>
<td>Large brackets indicate the items within them are optional.</td>
</tr>
<tr>
<td>[]</td>
<td>Small brackets are part of ABL.</td>
</tr>
<tr>
<td>{}</td>
<td>Large braces indicate the items within them are required. They are used to simplify complex syntax diagrams.</td>
</tr>
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</table>
Examples of syntax descriptions

In this example, **ACCUM** is a keyword, and **aggregate** and **expression** are variables:

**Syntax**

```
ACCUM aggregate expression
```

FOR is one of the statements that can end with either a period or a colon, as in this example:

```
FOR EACH Customer NO-LOCK:
   DISPLAY Customer.Name.
END.
```

In this example, **STREAM stream**, **UNLESS-HIDDEN**, and **NO-ERROR** are optional:

**Syntax**

```
DISPLAY [ STREAM stream ] [ UNLESS-HIDDEN ] [ NO-ERROR ]
```

In this example, the outer (small) brackets are part of the language, and the inner (large) brackets denote an optional item:

**Syntax**

```
INITIAL [ constant [, constant ] ]
```

A called external procedure must use braces when referencing compile-time arguments passed by a calling procedure, as shown in this example:

**Syntax**

```
{ &argument-name }
```
In this example, EACH, FIRST, and LAST are optional, but you can choose only one of them:

**Syntax**

PRESELECT [ EACH | FIRST | LAST ] record-phrase

In this example, you must include two expressions, and optionally you can include more. Multiple expressions are separated by commas:

**Syntax**

MAXIMUM ( expression , expression [ , expression ] ... )

In this example, you must specify MESSAGE and at least one expression or SKIP [ { n } ], and any number of additional expression or SKIP [ { n } ] is allowed:

**Syntax**

MESSAGE { expression | SKIP [ { n } ] } ...

In this example, you must specify (include-file, then optionally any number of argument or &argument-name = "argument-value", and then terminate with ):

**Syntax**

( include-file
  [ argument | &argument-name = "argument-value" ] ... )

**Long syntax descriptions split across lines**

Some syntax descriptions are too long to fit on one line. When syntax descriptions are split across multiple lines, groups of optional and groups of required items are kept together in the required order.

In this example, WITH is followed by six optional items:

**Syntax**

WITH [ ACCUM max-length ] [ expression DOWN ]
  [ CENTERED ] [ n COLUMNS ] [ SIDE-LABELS ]
  [ STREAM-IO ]
Complex syntax descriptions with both required and optional elements

Some syntax descriptions are too complex to distinguish required and optional elements by bracketing only the optional elements. For such syntax, the descriptions include both braces (for required elements) and brackets (for optional elements).

In this example, `ASSIGN` requires either one or more `field` entries or one `record`. Options available with `field` or `record` are grouped with braces and brackets:

Syntax

```
ASSIGN { [ FRAME frame ] { field [ = expression ] } [ WHEN expression ] } ... 
| { record [ EXCEPT field ... ] }
```

OpenEdge messages

OpenEdge displays several types of messages to inform you of routine and unusual occurrences:

- **Execution messages** inform you of errors encountered while OpenEdge is running a procedure; for example, if OpenEdge cannot find a record with a specified index field value.

- **Compile messages** inform you of errors found while OpenEdge is reading and analyzing a procedure before running it; for example, if a procedure references a table name that is not defined in the database.

- **Startup messages** inform you of unusual conditions detected while OpenEdge is getting ready to execute; for example, if you entered an invalid startup parameter.

After displaying a message, OpenEdge proceeds in one of several ways:

- Continues execution, subject to the error-processing actions that you specify or that are assumed as part of the procedure. This is the most common action taken after execution messages.

- Returns to the Procedure Editor, so you can correct an error in a procedure. This is the usual action taken after compiler messages.

- Halts processing of a procedure and returns immediately to the Procedure Editor. This does not happen often.

- Terminates the current session.

OpenEdge messages end with a message number in parentheses. In this example, the message number is 200:

```
** Unknown table name table. (200)
```
If you encounter an error that terminates OpenEdge, note the message number before restarting.

**Obtaining more information about OpenEdge messages**

In Windows platforms, use OpenEdge online help to obtain more information about OpenEdge messages. Many OpenEdge tools include the following Help menu options to provide information about messages:

- Choose **Help → Recent Messages** to display detailed descriptions of the most recent OpenEdge message and all other messages returned in the current session.

- Choose **Help → Messages** and then type the message number to display a description of a specific OpenEdge message.

- In the Procedure Editor, press the HELP key or F1.

On UNIX platforms, use the OpenEdge `pro` command to start a single-user mode character OpenEdge client session and view a brief description of a message by providing its number.

To use the `pro` command to obtain a message description by message number:

1. Start the Procedure Editor:

```
OpenEdge-install-dir/bin/pro
```

2. Press F3 to access the menu bar, then choose **Help → Messages**.

3. Type the message number and press ENTER. Details about that message number appear.

4. Press F4 to close the message, press F3 to access the Procedure Editor menu, and choose **File → Exit**.
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What is Identity Management?

Identity management, in OpenEdge, is a set of systems and tools to control the user identities encountered by OpenEdge. This system provides a number of options to manage identity without the need for application code changes.

Note: The OpenEdge identity management features described in this manual apply primarily to the OpenEdge RDBMS and its immediate clients, including ABL clients, SQL clients, and database command-line utilities. Identity management for other OpenEdge features or external interfaces to OpenEdge, such as Web browsers, Web servers, and Open Clients have their own security services and are beyond the scope of this manual.

The purpose of identity management is to ensure that the resources of an information system—including applications and data—are accessed only by those users who are trusted to access them and are accessed in a manner that is appropriate for each individual user or particular group of users. Indeed, an essential function of identity management is to protect information resources so only authorized users can access the information system itself. It does this through an authentication system that serves as the gateway for all access to the information system. Once access is granted to a particular user, each attempt to access protected resources is controlled by an authorization system that determines if and how the user can access that resource. The security and capabilities of an identity management system are often part of a larger security system consisting of hardware and software that provides authentication, authorization, encryption, and auditing services to an information system.

OpenEdge provides its identity management system as a core business service of the product. This means that essential features of OpenEdge identity management are both supported by the product and shared in common by multiple OpenEdge components. This document provides an overview of that support and how to use it to secure access to OpenEdge components and the applications you build with them.
The following sections provide an overview of identity and its management in OpenEdge:

- **What is Identity?**
- **How OpenEdge supports user account systems**
- **OpenEdge identity types and their applications**
- **User identity and multi-tenancy**
What is Identity?

Identity (or user identity) is the means by which a user can be securely known to a software system and is typically represented in the software by a security token. A security token is an object that contains both user credentials and additional information about the user’s roles and capabilities. User credentials (sometimes referred to as login credentials) consist of the information required to authenticate the user against a secure user account system known to the authentication system or application. The user account system manages a repository of user accounts and verifies that the login credentials asserted by the security token match valid account in the repository. A security token supports the authentication process by transporting a set of user credentials to be authenticated. Upon successful authentication, the security token becomes a read-only (sealed) container for transporting and asserting the authenticated user's identity to access authorized resources. Once it is sealed, the security token also represents a login session, which is a period of time during which the security token represents a valid user identity.

The security token information about roles and capabilities authorize the user to access specific resources of the software system. This resource authorization is supported by access control lists, which grant or deny access to particular resources for authenticated user identities.

All assertions of identity in OpenEdge are represented by a security token instance, and OpenEdge exposes security token objects to an ABL application in a manner that supports flexibility in the design and implementation of its authentication model. For authentication processes managed by OpenEdge and its installed components, the security token is hidden.
How OpenEdge supports user account systems

OpenEdge provides built-in support for specific user account systems and supports two different mechanisms for ABL clients to authenticate user identities against user account systems that do not have built-in OpenEdge support. This support includes configurable authentication systems that manage access to specified user account systems.

Authentication and user account systems

Depending on the authentication system configuration, an asserted user identity can be validated through user authentication performed by:

- **OpenEdge** — In a built-in operation, OpenEdge accepts the user credentials (which identify a user and authentication system) and calls out to the authentication system to validate the specified user identity through a configured user account system. Depending on the success or failure of the user validation, OpenEdge then seals a security token that represents the user identity. For a successful user validation, OpenEdge also establishes the user identity in an OpenEdge security system, which might be an ABL session and one or more OpenEdge database connections, depending on the operation and OpenEdge resources involved.

- **An ABL application** — An ABL application accepts the user credentials and calls out to an ABL-implemented or external user account system to validate the user identity. Depending on the success or failure of the user validation, the application typically executes an OpenEdge operation to seal a security token that represents the user identity. For a successful user validation, the application also typically executes another OpenEdge operation using the sealed security token to establish the user identity in an OpenEdge security system, which might be an ABL session and one or more OpenEdge database connections, depending on the operation and OpenEdge resources involved.

The result of a successful OpenEdge user authentication is always a sealed security token, which an ABL application can use in an OpenEdge single sign-on operation to establish the same authenticated user identity in additional ABL sessions and database connections. A *single sign-on* (SSO) operation validates that the authenticated user identity a sealed security token represents is acceptable to a given security system, and if successful, establishes that identity in the security system. If the ABL application performs the user authentication and seals a security token with the authenticated user identity, it can also use this security token in OpenEdge SSO operations to establish the user identity in additional ABL sessions or database connections. For more information on how OpenEdge manages user authentication and SSO operations, see the “OpenEdge authentication” section on page 48.

The following sections describe how OpenEdge supports user accounts in authentication systems. For more information on user accounts in OpenEdge, see the “OpenEdge identity types and their applications” section on page 38.
User accounts for OpenEdge-performed authentication

For supported user account systems, OpenEdge performs user authentication using two built-in authentication systems. These built-in authentication systems provide OpenEdge access to either an internal user account system built into the OpenEdge RDBMS or the local operating system (OS) user account system where OpenEdge is installed. Using one of these built-in authentication systems, ABL clients, SQL clients, and the command-line database utilities can all authenticate users defined in either the OpenEdge RDBMS user accounts or the local OS user accounts.

OpenEdge also allows you to configure user-defined authentication systems for use by ABL clients only that allow OpenEdge to perform the user authentication. These user-defined authentication systems are configured with ABL authentication callbacks that either implement their own user account systems or manage access to external user account systems, such as LDAP or OpenID. When an OpenEdge authentication operation uses one of these user-defined authentication systems, OpenEdge invokes the associated ABL callback to perform the user authentication. Thus, OpenEdge can authenticate user identities against external user accounts in the same way that it uses built-in authentication systems to authenticate against the database internal and OS user accounts.

In addition, OpenEdge allows you to configure these built-in authentication systems with ABL authentication callbacks that extend the built-in user authentication operations executed against the built-in user account systems. This allows you to add additional criteria, such as times of day or other login limits, to further validate an otherwise successful user authentication. You can also configure both built-in and user-defined authentication systems with ABL callbacks that perform customized processing after any OpenEdge user-authentication or SSO operation establishes a user identity in an ABL session or database connection.

User accounts for ABL application-performed authentication

An ABL application can implement the user authentication for a user-defined authentication system that is not configured with an ABL authentication callback. In this case, the application performs the user authentication directly against a user account system that it either implements itself or accesses externally, similar to an ABL authentication callback. However, an application-performed authentication can support a larger variety of user credentials, depending on the user account system. For example, the user credentials might consist of a biometric signature, such as a fingerprint, if that is what the user account system requires. However, if it is to work with other OpenEdge identity management features, the result of any successful application-performed user authentication must include the same user credentials to seal in a security token that are required for any OpenEdge-performed user authentication. For example, this allows the application-authenticated user identity to be validated and established in the ABL session or available database connection using an OpenEdge SSO operation.
User credentials authenticated by OpenEdge

The user credentials required for an OpenEdge authentication include:

- **User name** — A character string of varying length that specifies the user’s account name (which can be blank) and whose exact format depends on the authentication system that stores and secures the user’s account information. However in OpenEdge, the user name cannot contain the '@' character, because this character delimits the name of a security domain in a user ID (see the following bullet and “Specifying a user ID for OpenEdge authentication” section on page 37). In OpenEdge, a user is always a member of an OpenEdge security domain, with backward-compatible support for previous OpenEdge releases that do not explicitly define security domains.

- **Domain name** — A case-insensitive character string of varying length between zero (0) and 64 characters that specifies the name of an OpenEdge security domain of which the user is a member, with a format similar to the domain of an E-mail address. In general, a *security domain* (or, simply, *domain*) includes an application, or collection of applications, whose security systems are configured to create and trust a common security token for authentication and authorization. In OpenEdge, a security domain is defined in an OpenEdge RDBMS and is configured with:
  
  - A name (which can be blank, the default)
  - A single authentication system used to authenticate the identity of all users who are members of the domain
  - A secret access code used to cryptographically seal the security token after a successful user authentication, and also used to later validate the user identity represented by the sealed security token.
  - An indication that the domain is enabled for use at run time

In a multi-tenant database environment, the domain also identifies the tenancy of all users whose identity is authenticated in the domain. For more information on user identity and multi-tenancy, see the “User identity and multi-tenancy” section on page 45. For more information on OpenEdge security domains and their configuration, see “Defining and configuring security domains” section on page 58.

- **Secret Passphrase** — Also known as a user account *password*, a character string of varying length whose contents are known only to the owner of the user account and the user account system. This value must match the corresponding passphrase stored by the user account system together with the user account information. The user account system might be configured to require certain characters in the value, for example, at least one upper-case letter, one numeral, and one special character from a given set.

**Note:** OpenEdge never retains or stores this value for any purpose. After OpenEdge passes a user’s secret passphrase to a user account system for verification, it destroys all evidence of its value within OpenEdge itself.
After a successful OpenEdge-performed authentication, OpenEdge seals the user and domain name in a security token. If an ABL application authenticates the identity, regardless of the form of user credentials that it verifies, the result of successful authentication must also include a user and domain name that the application seals in a security token in order to manage the authenticated identity with OpenEdge identity management features.

**Specifying a user ID for OpenEdge authentication**

A *user ID* is a character string that contains the public representation of a user’s identity. When a user provides their user credentials to access a protected resource, OpenEdge allows, and in some cases requires, the user and domain to be specified as a single character value, with the domain name delimited by the '@' character, using this basic syntax:

**Syntax**

```plaintext
user-name[@domain-name]
```

If a user ID is specified without a domain, OpenEdge assumes the default domain. This format, which is similar to an E-mail address, is a *fully qualified user ID*. In certain cases, OpenEdge allows the user to specify only *user-name* (without a domain name) as a user ID, which is referred to as a *non-qualified user ID*, or simply the *user account name*. When a user specifies a non-qualified user ID for authentication, OpenEdge uses the blank domain as the user’s domain, by default.

OpenEdge supports flexible options for entering user credentials, depending on the application or OpenEdge context, and sometimes prompts for the user and domain name as separate values. For more information on how an OpenEdge user can enter user credentials, see the "Entering user credentials in OpenEdge" section on page 70.

**Common authentication mechanism for database clients**

With a common security token to encapsulate user identity, OpenEdge employs the same basic user authentication mechanism for all OpenEdge database clients, including:

- Database connections for ABL clients, SQL clients, and database utilities
- Individual ABL sessions of all types
- ABL applications of all configurations, including client-server, multi-tier, service-oriented (SOA), and software as a service (SaaS)

The available authentication features, however, depend on the OpenEdge components involved, the configuration of their security domains, and in the case of ABL sessions and applications, the design of the application authentication model. Authorization support also differs, with one authorization system for SQL clients and another for all other OpenEdge components.
Chapter 1: What is Identity Management?

OpenEdge identity types and their applications

OpenEdge supports two basic types of user identity for OpenEdge database clients that can be applied in various ways:

- Authenticated user identity — All applications
- Default user identity (for backward compatibility) — Database connections only

In addition, OpenEdge supports different mechanisms to authenticate identities for OpenEdge components other than OpenEdge database clients. For more information, see the “Authentication mechanisms for other OpenEdge components” section on page 44.

Authenticated user identity

An authenticated user identity is any user identity that is authenticated using supported authentication systems as follows:

- Authentication systems that OpenEdge user authentication operations can use to directly perform the authentication:
  - Built-in _oeusertable — This authentication system is configured with the OpenEdge internal user account system defined in the hidden _User table of OpenEdge databases. This user account system is managed entirely by OpenEdge database administration tools. Users defined in the database _User table accounts are defined with both a user name and the name of an OpenEdge domain, which is always configured with the _oeusertable authentication system. The _User table accounts also support a blank user identity in which both the user name and domain are defined with the empty string (""), and the OpenEdge blank domain serves as the default domain for any user ID specified with only a user name.
  - Built-in _oelocal — This authentication system is configured with the native user accounts on the Windows or UNIX-based operating system where OpenEdge runs, with all of the user account security that the particular operating system provides. Users defined in Windows user accounts can be identified by both a user name and a Windows domain name. UNIX user accounts support only user names within a single domain. However, authentication to any local OS user account requires a user ID that includes the OS-defined user name and the name of an OpenEdge domain that is configured with the _oelocal authentication system. OpenEdge domains defined to work on a Windows system can also be mapped to particular Windows domains, as required.
– **User-defined authentication systems** — These authentication systems are configured with ABL authentication callbacks that manage user accounts or access external user account systems for user authentication. These user-defined authentication systems must also be enabled for user authentication. To work with OpenEdge user authentication operations, owners of the user accounts accessed by an ABL authentication callback must be defined with a user name in an OpenEdge domain that is configured with a user-defined authentication system that is, itself, configured with the same ABL authentication callback. For more information on user-defined authentication systems and configuring them with ABL authentication callbacks, see the "Defining and specifying the system type" section on page 60.

---

**Caution:** If you implement a user-defined authentication system using an ABL authentication callback Progress Software recommends that you run the authentication system only in a locked down server environment where the r-code for the callback can be secured.

---

**Note:** The OpenEdge blank domain can be configured with any authentication system, including _oslocal (which you might want to do on UNIX, for example), but only if no users in the database _User table accounts are defined with the blank domain.

---

• Authentication systems that require an ABL application to implement and perform the user authentication using application-managed user accounts or an external user account system, similar to ABL authentication callbacks. These authentication systems include the OpenEdge built-in _extss0 authentication system and any user-defined authentication system that you enable for OpenEdge SSO only. An application-implemented authentication system is enabled for SSO only so any OpenEdge domain that is configured with that authentication system cannot be part of a user identity that OpenEdge can authenticate, but once authenticated (by the application) OpenEdge can establish using an SSO operation. An SSO-only authentication system can be configured with an ABL callback to perform post-processing after an OpenEdge SSO operation establishes a user identity that is authenticated in a corresponding domain.

---

**Caution:** Securely implementing an authentication system requires extensive expertise with security systems. This manual provides some guidance on how to configure OpenEdge to maximize the security of an application-implemented authentication system. However, this information provides only a basis for implementing an authentication system in ABL and is by no means sufficient or complete.

Progress Software recommends that you run an application-implemented authentication system only in a locked down server environment where the r-code for the application can be secured.
Table 1 provides an overview how an authenticated OpenEdge user identity can be applied.

Table 1: Authenticated OpenEdge user identity applications

<table>
<thead>
<tr>
<th>Identity application</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Database connection identity</td>
<td>A user identity that has been authenticated for or assigned to the database connection. An OpenEdge RDBMS authorizes all access to specific database tables and fields using the database connection identity.</td>
</tr>
<tr>
<td></td>
<td>The identity for a database connection can be authenticated directly by OpenEdge when the connection is established from an ABL client, SQL client, or database utility. In ABL, the initial database connection identity can be bound to the identity already established for the ABL session to which the database is connected (see ABL session identity in this table). Also in ABL, the initial database connection identity can be changed by authenticating a new identity or by validating a new identity from a previously sealed security token.</td>
</tr>
<tr>
<td></td>
<td><strong>Note:</strong> The ABL USERID function returns the current database connection ID (qualified or non-qualified, as appropriate) for a database connection, regardless of how it is set.</td>
</tr>
<tr>
<td>ABL session identity</td>
<td>A user identity that is associated with an ABL session, independent of any databases connected to the session. The ABL session identity can be used to authorize or identify user access to application features in a database-independent fashion. These can be features that are entirely application-defined or that are supported specifically by OpenEdge, such as the auditing identity.</td>
</tr>
<tr>
<td></td>
<td>The identity for an ABL session can be authenticated directly by OpenEdge at the request of the ABL application, or the ABL application can perform its own authentication of the session identity as a user-defined authentication system. An ABL session can also set its identity by validating a previously sealed security token. For example, it can set its identity from the sealed security token representing the identity of an existing database connection or another ABL session (see ABL application identity).</td>
</tr>
<tr>
<td></td>
<td>All options for authenticating or setting ABL session identity depend on ABL functions and methods that authenticate the identity and seal the security token for the session, or validate the session identity from a previously sealed security token.</td>
</tr>
</tbody>
</table>
A common user identity established by a multi-tier application for use by all OpenEdge sessions that participate in handling a single user action or request. The is the same as the ABL session identity with its scope extended by application code to multiple ABL sessions and database connections.

Typically, the application user identity is shared between a single AppServer client and the AppServer agent or agents that process client requests. Depending on the application session model, this single application user identity can also be shared between a single ABL client session and multiple AppServer instances. In addition, any given ABL session can use this single application identity as both the ABL session identity and the identity of any or all database connections required by the session or application.

You can set the application identity from a user ID that is authenticated in a single controlling session, which is typically an AppServer session that authenticates and manages the application identity for all ABL clients that log users into the application.

For more information on multi-tier applications, the OpenEdge AppServer, and application session models, see *OpenEdge Getting Started: Application and Integration Services*.  

<table>
<thead>
<tr>
<th>Identity application</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>ABL application identity</td>
<td>A common user identity established by a multi-tier application for use by all OpenEdge sessions that participate in handling a single user action or request. The is the same as the ABL session identity with its scope extended by application code to multiple ABL sessions and database connections. Typically, the application user identity is shared between a single AppServer client and the AppServer agent or agents that process client requests. Depending on the application session model, this single application user identity can also be shared between a single ABL client session and multiple AppServer instances. In addition, any given ABL session can use this single application identity as both the ABL session identity and the identity of any or all database connections required by the session or application. You can set the application identity from a user ID that is authenticated in a single controlling session, which is typically an AppServer session that authenticates and manages the application identity for all ABL clients that log users into the application. For more information on multi-tier applications, the OpenEdge AppServer, and application session models, see <em>OpenEdge Getting Started: Application and Integration Services</em>.</td>
</tr>
</tbody>
</table>
Chapter 1: What is Identity Management?

Default user identity (for backward compatibility)

OpenEdge supports a default user identity that does not require authentication for database connections from ABL or the database utilities. OpenEdge does not normally support a default identity for SQL but again, for backward compatibility, does allow OpenEdge SQL to be configured to accept a form of default identity.

ABL default identity constraints

ABL supports a default user identity that is set when connecting to a database without providing a user ID and password. This default identity is applied in all situations where a database is connected, but the ABL startup parameters for specifying a user ID and password (-U and -P) are not used. OpenEdge tools written in ABL that prompt for a user ID and password (such as the character mode Data Dictionary or the Data Administration utility), apply the default user identity if the user cancels out of the prompt.

The user ID assigned for the default user identity depends on the domain configuration in the database. If at least one domain is enabled for run-time access that supports OpenEdge-performed user authentication, the default user identity is the blank user ID (blank user and domain name). If there is no such domain enabled, OpenEdge attempts to use the user’s operating system (OS) user ID, but defaults to the blank user ID if an OS user ID cannot be resolved.

Table 1: Authenticated OpenEdge user identity applications (3 of 3)

<table>
<thead>
<tr>
<th>Identity application</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Auditing identity</td>
<td>The designated user identity that OpenEdge auditing stores in audit event records for an audit trail. There is no functionally independent auditing identity. Instead, the auditing identity is set from one of the other established identities, depending on the application configuration. The auditing identity for the audit trail recorded by any database is the current connection identity for that database, and this is the only source of auditing identity for SQL or database utilities. However, you can also set a database option to set the auditing identity from the identity of any ABL session that connects to the database, effectively making the database connection identity the same as the ABL session identity (see database connection identity in this table). In this way, you can configure auditing for every database that is connected from a given ABL session so that all audit trails for that session (or even the entire application) are associated with the same user identity. Also, any time you explicitly authenticate or set a new connection identity for a database, this becomes the new auditing identity for that database as well. <strong>Note:</strong> The ABL USERID function returns the user ID (qualified or non-qualified, as appropriate) for the current auditing identity, regardless of how it is set.</td>
</tr>
</tbody>
</table>

**OpenEdge® Getting Started: Identity Management**
Note: OpenEdge assigns any default OS user ID the OpenEdge domain "WINDOWSID" or "UNIXID", depending on the operating system.

If the default user ID is a valid OS user ID, the database can be configured to uniquely authorize access for it like any other authenticated user identity. However, if the default user ID is the blank user ID, OpenEdge cannot distinguish this default, unauthenticated user identity from an authenticated blank user ID. As a result, it is possible for a blank user identity to access the database without authentication.

Note: The blank user ID can be defined only in the database _User table accounts.

Caution: While often helpful to developers in a development environment, if you can, avoid relying on the blank user identity for any purpose in a deployment environment.

To prevent an unauthorized user from gaining access to an OpenEdge database using the blank default identity, OpenEdge supports database and security options that limit access using the blank user ID. You can prevent a blank user ID from connecting to a database to begin with. You can also prevent a blank user ID that connects to a database from gaining access to any tables and fields in that database.

Note: These settings block connection and data access to an authenticated blank user identity as well as to the default blank user identity.

Because, a database connection can have an authenticated blank user identity, the auditing identity can also be recorded as the blank user ID, which, again, cannot be distinguished from an unauthenticated, default blank user ID. So, if your database requires auditing, Progress Software strongly recommends that you prevent all access to the blank user ID.

Note: OpenEdge prevents any SSO operation from establishing an existing default user identity in any ABL session or any other database connection.

SQL default identity constraints

The SQL standard does not allow for a default user identity and requires the user to provide a valid user ID and password. However, if there are no domains enabled that are configured with a working authentication system, OpenEdge SQL does accept any non-blank user ID (ignoring the password) that the user enters, with the exception of the special DBA account, SYSPROGRESS. However, such a configuration is not typical for deployment.

Database utility default identity constraints

When starting up a database utility, such as PROUTIL or PROMON, if the user does not provide a user ID and password, the utility defaults to the operating system process identity. Therefore, at a minimum, database access from database utilities is authorized based on the user's operating system identity.
Authentication mechanisms for other OpenEdge components

OpenEdge uses different authentication mechanisms for the following components:

- **OpenEdge Management and OpenEdge Explorer:**
  - **Database Administration Console (DAC)** — Authenticates user identities against an operating system file of user accounts on disk. Supports the option to use the DAC user ID and password for managed database connections.
  - **Unified Broker command-line utilities** — Authenticates user identities directly against the OS user accounts.

- **OpenEdge DataServers:**
  - **OpenEdge schema holder database** — Authenticates user identities in OpenEdge domains like any other OpenEdge database connection.
  - **Foreign data source** — Authenticates user identities as defined by the security system for the foreign data source. Some OpenEdge DataServers pass specified User ID (-U) and Password (-P) connection parameters to the foreign data source for authentication.
User identity and multi-tenancy

In a multi-tenant database environment, every user identity (including the blank default identity) has *tenancy*, which is the tenant or tenants whose data the user is authorized to access in the database. A user’s tenancy is identified by the name of the single tenant that is configured for the user’s domain, and the user’s tenancy takes effect the moment their identity is authenticated and established in a multi-tenant database connection.

**Note:** The default (blank) domain is always configured for the default tenant. This configuration cannot be changed.

Because multiple users can be authenticated in the same domain, all of these users share the same tenancy. In addition, multiple domains can be configured with (“can belong to”) the same tenant. All users authenticated to any of these domains share the same tenancy and access the same tenant (and shared) data.

So, for each tenant defined in a database (including the default tenant), the database must define at least one domain configured with the tenant in order for users to access the database with the specified tenancy. Note that a given domain can be configured with only one tenant at a time.

Also, because every multi-tenant database defines its own set of tenants, a given domain defined in one database can be configured with a different tenancy than a domain of the same name defined in another database. So, for example, a given user identity might access one database as the regular tenant, "General", while the same user identity might access another database as the super tenant, "Master".

For an overview of multi-tenancy and access to multi-tenant databases, see *OpenEdge Getting Started: Multi-tenancy Overview*. For an overview of configuring domains for multi-tenancy, see the “Identifying the tenant to which a domain belongs” section on page 64.
How is OpenEdge Identity Managed?

The basic mechanisms for managing identity include user accounts, authentication, authorization, and the configuration of these security functions. The following sections provide an overview of how authentication and authorization is supported in OpenEdge for OpenEdge database clients, and where to find more information.

- OpenEdge authentication
- OpenEdge authorization
OpenEdge authentication

As described elsewhere (see "OpenEdge Getting Started: Core Business Services - Security and Auditing"), in OpenEdge, **authentication** is the process of:

- Ensuring that a user who accesses a software system is who they claim to be based on user credentials that they provide

- Providing a secure and portable means of identification (a sealed security token) for a successfully authenticated user, so their identity can be recognized throughout the system

- Validating this user identity in every component of the system before it can be used to authorize access to system features and data

OpenEdge provides a common authentication mechanism for all OpenEdge database clients and this section describes the supported authentication operations. For a more detailed overview of how to configure and implement authentication, see Chapter 3, "Configuring and Implementing Authentication in OpenEdge."

**Authentication operations**

OpenEdge supports two basic types of authentication operations to authorize access to OpenEdge resources:

- **User authentication** — Authenticates user supplied credentials in a login operation against secure user accounts that are associated with a specific security domain. If successful, this operation produces a sealed security token that represents the user’s identity in that domain. It then establishes (sets) the user identity in the security system where the user’s identity is authenticated so the security system can authorize access to system features and data. Depending on the OpenEdge configuration, and the security systems involved, a single user authentication can set the same identity for more than one security system in a single operation.

  Also, as noted previously (see Chapter 1, “What is Identity Management?”), OpenEdge supports two different mechanisms to perform user authentication, depending on how the user’s security domain is configured:

  - **OpenEdge performed** — Domains configured for user authentication to the OpenEdge _User table (database _User table accounts) or to the operating system user accounts

  - **ABL Application performed** — Domains configured for authentication to any other (external) user accounts
• **Single sign-on (SSO)** — In ABL only, validates that the user identity has successfully passed authentication and the security system is configured to accept sealed security tokens before establishing the identity. Thus, an SSO operation can take a security token that has been created and sealed in one security system, and set the same user identity for a different security system within the same domain without requiring the user to login a second time. Depending on the OpenEdge configuration, and the security systems involved, a single SSO can set the same identity for more than one security system in a single operation.

**OpenEdge security systems and authentication**

OpenEdge security systems that these common authentication operations support include various types of database connections and ABL sessions. The types of database connections include connections from:

- ABL clients
- SQL clients
- Database command-line utilities, such as PROUTIL or PROMON

**Note:** OpenEdge predefines certain built-in security domains for use by database utilities only.

The types of ABL sessions include:

- Full ABL clients, including batch clients
- WebClients
- AppServer agents
- WebSpeed agents

**Authentication operations supported for OpenEdge security systems**

Of these security systems, all of them support access through user authentication. For backward compatibility, some support a form of default identity that does not require any authentication (see the "Default user identity (for backward compatibility)" section on page 42). Only ABL sessions, and database connections established from ABL sessions, support SSO operations. In addition, the ability to set the same user identity for more than one security system in a single authentication operation is supported only for ABL sessions and database connections. An ABL session can also retrieve the sealed security token from OpenEdge that was used to set the current identity for the session or any database connection established in the session.

**User authentication process**

All user authentication operations follow a common process and depend on a registry of enabled domains (domain registry) that has either been created in an ABL session or for each database connection. For more information on domains and domain registries, see the "Run-time domain configuration" section on page 68.
The following procedure applies to any OpenEdge-performed user authentication and many implementations of an application-performed user authentication. However, the form of user credentials required for an application-performed user authentication can be different from what is used to initialize the security token, requiring a different order to these steps. For more information, see the “Application-performed user authentication” section on page 74.

Process for a user authentication operation

A user authentication operation generally follows these steps to authenticate a user’s identity:

1. If an unsealed security token is provided without the necessary user account claims, provides a new security token initialized with the user’s credentials, including their user name, domain name, passphrase, and a unique login session ID.

2. If there is a login expiration time stamp set in the security token and it has expired, seals the security token in a state that indicates its time for authentication has expired and exits from the authentication operation with no further action.

3. Verifies that the name of the user credential's domain is found and enabled in the domain registry of the ABL session or database connection.

4. Verifies that the domain is configured with an authentication system that is enabled for user authentication.

5. Authenticates the user credentials against the user accounts defined for the domain’s authentication system:
   a. Validates that an account exists for the user name and that its passphrase matches the passphrase in the user credentials.
   b. Validates that the user ID account is in an enabled state and passes all account conditions.
   c. If the authentication is successful:
      • Deletes the passphrase from the user credentials and erases all trace of it.
      • Loads the name of the authentication system and other documentary information about the authentication into the security token.
      • Seals the security token using the domain’s access code.
      • Passes the authenticated identity to the authorization system for future use.

   If the authentication is not successful, it seals the security token in a state that indicates the authentication failed, and leaves the existing user identity for the ABL session or database connection unchanged.
Single sign-on (SSO) process

All SSO operations follow a common procedure and depend on a registry of enabled domains (domain registry) that has either been created in an ABL session or for a single connected database. For more information on domains and domain registries, see the “Run-time domain configuration” section on page 68.

Process for an SSO operation

An SSO operation generally follows these steps to validate and set the user identity using a sealed security token:

1. Retrieves the domain name from the security token and verifies that the domain exists in the domain registry of the ABL session or connected database, and is in an enabled state.

2. If there is a login expiration time stamp set for the security token, verifies that it has not expired, and if it has expired, sets the security token to a state that indicates its login time has expired and exits from the SSO operation without further action.

3. Performs a data-integrity check of the user credentials stored in the security token.

4. Validates the access code configured for the registered domain against the security token’s seal.

5. If the tests in all of the previous steps are satisfied, the SSO operation is successful and continues with one of the following procedures, depending on the context:
   - Process for a successful SSO to an ABL session
   - Process for a successful SSO to an OpenEdge database connection

If the SSO is not successful, the application continues with no effect on any existing user identity setting.

Process for a successful SSO to an ABL session

If the SSO operation is successful for an ABL session, it:

1. Makes a deep copy of the user credentials from the security token into the ABL session context.

2. Records the session's user ID and login session ID for internal use by auditing (and other internal functions), effectively setting the identity for the session.

3. Repeatedly executes the SSO operation in an attempt to set the same identity in each OpenEdge database connection currently established in the session, (see the “Process for a successful SSO to an OpenEdge database connection” section on page 52).

Note: In an ABL session, a database connection can be programmed to lock out the ability to set its identity when setting the session identity.
Chapter 2: How is OpenEdge Identity Managed?

**Process for a successful SSO to an OpenEdge database connection**

If the SSO operation is successful for an OpenEdge database connection, it:

1. Makes a deep copy of the user credentials from the security token into the
   database connection context.

2. Sets the connection identity to the user identity represented by the security token.

3. If this is a multi-tenant database connection:
   a. If the security token already stores the name of the database (from a previous
      connection), the corresponding tenant name and database tenant ID are
      assigned to the connection to authorize the user’s tenancy.
   b. If the security token does **not** already store the name of the database, the
      tenant name and database tenant ID are returned from the database domain
      configuration and the security token is resealed with the updated user
      credentials; then the tenant name and database tenant ID are assigned to the
      connection to authorize the user’s tenancy.

For more information on tenant authorization, see the “Tenant authorization” section on page 54.

4. Audits the change in database connection identity.

5. For an ABL database connection, rebuilds the table and field permissions for the
   new user identity to use in any subsequent run-time or dynamic buffer access.

---

**Note:** For more information on ABL table and field permissions, see the
“OpenEdge authorization” section on page 53
OpenEdge authorization

Authorization is the process of using a user’s security token to control access to resources and the operations they can perform on those resources. These operations, including access to data, are typically controlled by a set of access controls associated with the user’s identity. These access controls implement the authorization model used to regulate user access.

The OpenEdge RDBMS supports two different authorization models:

- **SQL** — A default closed model that prevents all access to databases, tables, fields, and views unless a specified access privilege is explicitly granted to a user. OpenEdge SQL clients follow this model.

- **ABL** — An default open model that grants all access to databases, tables, and fields unless an access permission is explicitly restricted from a user. All ABL sessions and database utilities follow this model.

For both models, the access privileges or permissions are set based on the user ID stored in the user’s security token (see the “Specifying a user ID for OpenEdge authentication” section on page 37). For a non-multi-tenant database, only a non-qualified user ID (user name only) is recognized. For a multi-tenant database, a fully-qualified user ID (including the user and domain name) is both recognized and required. In the SQL model, to authorize privileges for a given user, you must specify the user’s complete user ID, or a list of complete user IDs for more than one user. In the ABL model, to authorize permissions, you can specify lists of user ID patterns that can include wild-card and other characters that identify the access permissions for one or more users.

This section provides an overview of OpenEdge authorization components that are common to both of its authorization models, including tenant and role authorization. For a more detailed overview of how to configure and implement authorization for both models, see Chapter 4, “Configuring and Implementing Authorization in OpenEdge.”
Tenant authorization

As described in the previous chapter (see the "User identity and multi-tenancy" section on page 45), tenancy is an attribute of a user identity. So, every database connection identity has a tenancy in a multi-tenant database. This tenancy is established at the time the connection identity is established. Tenancy authorizes a user identity to access the data owned by, or accessible to, the tenant configured for their domain in a connected multi-tenant database. Note that a user’s domain maps to the name of a tenant organization regardless of the tenant ID in each multi-tenant database.

Tenancy data models

All data in a multi-tenant database is allocated according to the following tenant access protocol:

- **Shared (non-tenant) data** — Accessible to all users, regardless of tenancy
- **Default tenant data** — Accessible to default-tenant users and to super-tenant users with the default effective tenancy (the OpenEdge default setting for super tenant effective tenancy)
- **Regular tenant data** — Accessible to regular-tenant users with the same regular tenancy and to super-tenant users with the same effective regular tenancy.

Regardless of tenancy, a user can only access data according to the SQL or ABL authorization settings for table and fields that have been established for their user ID in the database.

Managing tenancy access across multiple ABL sessions

When a user’s identity is first established for a multi-tenant database connection, the user’s tenancy is set using the tenant information stored in the database’s own domain configuration. Because a domain in one database can be configured with a different tenant identifier than the same domain configured in another database, the same user identity can have a different tenancy in each multi-tenant database that it accesses.

To help manage the tenancy of a given identity used to access the same set of multi-tenant databases in different ABL sessions (such as multiple AppServer agents), ABL caches its tenancy in the security token for the identity whenever it is first used to authenticate a connection to a multi-tenant database. If the same sealed security token is then used to authenticate a connection to the same database in a different ABL session, the tenancy for the connection identity can then be authorized without having to retrieve the same tenant information from the database. Also, at any point, the application can query the security token for its tenancy in any multi-tenant database it has been used to authenticate a connection identity. For more information on ABL management of tenant authorization across databases and sessions, see “Managing identity for multi-tenancy” section on page 76.
Role-based authorization

Both SQL and ABL authorization models support roles to which users can be assigned. When a user is assigned to a role, they can perform certain types of operations on and in the database that are permitted for their assigned role.

The basic roles include:

- **Database administrator** — Allows access to all database operations as any role initially, including the authorization to assign users to all other roles. Once users are assigned to other roles, the database administrator might no longer have authorization to perform the assigned roles. In the SQL model, there are a number of roles that a database administrator (DBA) always has the power to grant or revoke. In the ABL model, everyone is a database administrator. For more information on the database administrator role in the:
  
  - **SQL model** — See [OpenEdge Data Management: SQL Development](#)
  
  - **ABL model** — See [OpenEdge Data Management: Database Administration](#)

- **Security administrator** — Allows access to all database security operations, such as setting various database security options, configuring domains, defining users in the database `_User` table accounts, and assigning data access privileges and permissions to users. In the SQL model, the DBA is also the security administrator. In the ABL model, after a database administrator assigns the first security administrators, only the assigned security administrators can act as security administrators and assign other security administrators. The default ABL security administrator is everybody and public.

  **Caution:** If the blank user is the only ABL security administrator, do not add any non-blank users to the database `_User` table accounts. Once a non-blank user is added to the database `_User` table accounts, OpenEdge no longer allows a blank user to act as a security administrator, and all access to database security functions, if not to the database itself, is effectively locked out.

  For more information on security administrators, see [OpenEdge Getting Started: Core Business Services - Security and Auditing](#) and [OpenEdge Data Management: Database Administration](#).

- **Audit roles** — Allow access to various roles that have different audit privileges, including the audit administrator, application audit event inserter, audit data archiver, and audit data reporter. The assignment of audit roles follows a grant model. SQL DBAs and ABL security administrators are all audit administrators until they explicitly assign the first audit administrator. The audit administrator can assign users, including themselves, to all other audit roles. If only one user is assigned as an audit administrator, the database administrator can revoke that assignment and become the default audit administrator, again. Although the mechanism for assigning audit roles and privileges is different in SQL and ABL, settings made in either authorization model are recognized by the other, because auditing is a core service in common to both models. For more information on OpenEdge auditing and audit roles, see [OpenEdge Getting Started: Core Business Services - Security and Auditing](#).
Configuring and Implementing Authentication in OpenEdge

The first task in setting up authentication for OpenEdge is to define and configure appropriate security domains. As noted previously, for OpenEdge, every user identity is defined in a domain. So, to authenticate users in a domain, OpenEdge must first have a configuration for that domain.

Users must be registered in the user account system supported by the domain. Note that if a user account system supports domains, they have no automatic relationship to OpenEdge domains. OpenEdge domains simply direct the authentication of user IDs to the appropriate authentication system.

At this point, SQL clients and database utilities are ready to accept logins. For an ABL application, the requirements might be more complex, especially for a multi-tier application with many moving parts. There are many authentication models that can be devised for a complex application configuration. So, designing and implementing one can require some care.

The following sections describe the OpenEdge features that support the entire process:

- Defining and configuring security domains
- Configuring authentication
- Run-time domain configuration
- Entering user credentials in OpenEdge
- Authentication in ABL applications
Defining and configuring security domains

You typically configure OpenEdge-supported domains in an OpenEdge RDBMS using database administration tools, including the:

- The Database Administration Console in OpenEdge Management and OpenEdge Explorer
- Data Administration utility in the OpenEdge program group on Windows
- Admin menu of the character-mode Data Dictionary

OpenEdge also provides support for configuring domains in SQL and in ABL using an OpenEdge-installed ABL API. Each of these approaches to configuring OpenEdge domains supports different capabilities, which vary in usefulness and the level of security.

In general, an OpenEdge domain is identified by a name that is unique across the application for all domains. All domains are configured with the following settings:

- **A system type (authentication system)** — The type of authentication system that the domain relies on for authenticating the security token for all users in that domain. OpenEdge supports several built-in authentication system types and allows you to define new ones, including ones that allow you to define your own authentication system. Each type of authentication system supports a particular set of user accounts and specific operations to validate and set a given user identity.

- **An access code** — A secret value used by OpenEdge or your ABL application both to cryptographically seal a security token during user authentication and to validate a user’s sealed security token, for example, to assert their identity in a single sign-on (SSO) operation. If specified, this is typically a long character string value known only to the domain configuration.

  **Note:** This is not a user account password and should not be used as such.

- **An enablement indicator** — Specifies if the domain is enabled for use at run time (the default). This allows you, for example, to configure domains for deployment while not making them available to an OpenEdge application until its deployment is complete.

- **System options** — Allows you to specify options that can be passed to authentication systems for interpretation by configured ABL authentication callbacks.

- **A tenant name** — For a multi-tenant database, identifies the tenant to which the domain belongs, and through which all users in the domain access the database. For a non-multi-tenant database, this setting does not exist.

- **Additional settings** — To support auditing and other options that currently have limited or no affect on user validation.
The following sections describe the requirements and essential features of domain configuration:

- Requirements to configure a domain
- Defining the name of a domain
- Defining and specifying the system type
- Entering a domain access code
- Enabling and disabling domains
- Entering system options
- Identifying the tenant to which a domain belongs
- Pre-configured and reserved OpenEdge domains
- Configuring authentication-enabled domains

Requirements to configure a domain

Before you configure a domain:

- If the database is multi-tenant, ensure that the tenant for which the domain will be defined is already configured.

- Ensure that the authentication system required to authenticate user identities in the domain has been defined in the database.

Defining the name of a domain

A domain name must be unique for all domains defined in the database and can contain a maximum of 64 case-insensitive characters from the following restricted character set:

- a to z
- A to Z
- 0 to 9
- Any of the following seven special characters:
  # $ % & - _ .

**Note:** The restricted character set for domain names is the same as for domain names in E-mail domains.
The domain name can also be the empty string (""), which is reserved in OpenEdge. The empty string (""") is the value of the default (blank) domain name that OpenEdge pre-defines in every OpenEdge database. In addition, OpenEdge pre-configures and reserves additional domains whose names you cannot use to configure your own domains. For more information on these pre-configured and reserved domains, including the default domain, see the “Pre-configured and reserved OpenEdge domains” section on page 64.

Defining and specifying the system type

The system type is the name of the authentication system used to authenticate all user identities defined as members of the domain. Each authentication system supports a particular set of user accounts and allows one or both of the following authentication operations on a user identity:

- **User authentication by OpenEdge** — If the authentication system supports user authentication by OpenEdge, OpenEdge automatically supports it for SSO.

- **Single sign-on (SSO) by OpenEdge** — If the authentication system only supports SSO by OpenEdge, an ABL application must implement the user authentication, possibly with the help of an external user account system, such as Lightweight Directory Access Protocol (LDAP), OpenID, or GoogleID.

For more information on OpenEdge authentication operations for ABL, SQL, and database command-line utilities, see Chapter 2, “How is OpenEdge Identity Managed?”

OpenEdge supports the authentication systems shown in Table 2, which describes the supported user accounts and the support for OpenEdge user authentication and SSO.

**Note:** In some OpenEdge database administration tools, the **Domain Type** in an authentication system configuration window is the same as the **System Type** in a domain configuration window.

### Table 2: OpenEdge authentication systems

<table>
<thead>
<tr>
<th>Name (“Domain Type”)</th>
<th>Description</th>
<th>OpenEdge SSO supported?</th>
<th>OpenEdge User authn supported?</th>
</tr>
</thead>
<tbody>
<tr>
<td>_oeusertable</td>
<td>Built-in system that authenticates against the _User table accounts, supported for all OpenEdge database clients</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>_oslocal</td>
<td>Built-in system that authenticates against the operating system user accounts, supported for all OpenEdge database clients</td>
<td>Yes</td>
<td>Yes</td>
</tr>
</tbody>
</table>
Defining and configuring security domains

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OpenEdge support for user authentication and SSO

OpenEdge provides built-in user authentication support using the _oeusertable and _oslocal authentication systems anywhere OpenEdge accepts user credentials for authentication. This means that wherever an OpenEdge-supported user authentication operation is initiated:

1. OpenEdge identifies the authentication system from the asserted domain.
2. Delegates the user authentication operation to the appropriate security system.
3. Certifies or invalidates the security token to authorize access to the asserted domain, based on the result returned, and sealing the security token in the process.

This built-in user authentication occurs when connecting to a database or when setting the user identity for an ABL session or an existing database connection within an ABL application.

OpenEdge provides built-in SSO support within ABL applications, where a sealed security token can be used to set the user’s identity for different ABL sessions and database connections. In general, if a domain is configured for built-in user authentication, it is also configured for built-in SSO. OpenEdge also provides limited user authentication support for database command-line utilities in order to access databases in certain offline states (see the “Pre-configured and reserved OpenEdge domains” section on page 64).

For the built-in _extsso and any user-defined authentication system that you enable for OpenEdge SSO only, an ABL application must implement its own user authentication operation to validate user credentials and seal a security token. The application can then use the sealed security token in an OpenEdge SSO operation to validate and establish the user identity for an ABL session or database connection.

Table 2: OpenEdge authentication systems

<table>
<thead>
<tr>
<th>Name (“Domain Type”)</th>
<th>Description</th>
<th>OpenEdge SSO supported?</th>
<th>OpenEdge User authn supported?</th>
</tr>
</thead>
<tbody>
<tr>
<td>_extsso</td>
<td>Built-in system that relies on an ABL application to do user authentication, supported for ABL clients only</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>user-defined</td>
<td>User-defined system with a name you specify, supported for ABL clients only. If you enable it only for OpenEdge SSO, it relies on an ABL application to do user authentication. If you enable it for OpenEdge user authentication, you must also configure an ABL authentication callback to validate user credentials against user accounts.</td>
<td>Yes</td>
<td>Yes/No</td>
</tr>
</tbody>
</table>
For a user-defined authentication system that you enable for OpenEdge-performed user authentication, you configure an ABL authentication callback that validates the user credentials provided by an unsealed security token, which is input as part of an OpenEdge user authentication operation. Similar to an application-implemented user authentication, the authentication callback can perform the validation using any source of trusted user accounts, possibly including an external user account system such as LDAP, OpenID, or GoogleID. The callback then returns the result to OpenEdge, which certifies or invalidates the security token accordingly to authorize access to the asserted domain, sealing the security token in the process.

You can therefore effectively implement your own authentication system in ABL, which OpenEdge can use to authenticate user credentials, much as it uses the built-in _oeusertable and _oslocal authentication systems. The main difference is that a user-defined authentication system only works within an ABL session that is initialized and running, and so cannot accept user credentials to connect a database on the command-line. However, it does work with user credentials specified in a CONNECT statement, among other OpenEdge user authentication operations in ABL.

ABL provides language elements to manage built-in OpenEdge user authentication and SSO operations, as well as to implement user authentication within the ABL application or within a user-defined authentication system enabled for user authentication using an ABL callback. For more information, see the “Authentication in ABL applications” section on page 71.

**Specifying the system type**

To specify a system type in a domain configuration, the authentication system must already be configured in the OpenEdge database. The built-in authentication systems are pre-configured in every OpenEdge RDBMS and cannot be deleted or changed other than to configure ABL callbacks to extend the built-in user authentication and SSO mechanisms.

You can define one or more user-defined authentication systems by specifying names and other configuration options for them in the database administration tools. For example, in the Data Administration utility, use the Admin→Security→Domain Maintenance→Authentication Systems menu option. To create a new authentication system, click Create, then enter its name in the Domain Type field and other options as required. You cannot specify a leading underscore (_) in the authentication system name, as this is reserved for OpenEdge built-in authentication systems. You can also edit an existing authentication system by selecting it in a list and changing any options that are enabled for input.

Two of the most important options to set in a user-defined authentication system configuration include the field to specify an ABL callback and the toggle box for indicating whether the authentication system supports only OpenEdge SSO operations (where a callback is optional) or supports OpenEdge user authentication operations as well (where an authentication callback is required).

You can use the ABL API for Multi-tenant Maintenance to configure user-defined authentication systems. For more information on this API and how to create and configure ABL callbacks for authentication systems, see OpenEdge Development: Programming Interfaces.
Defining and configuring security domains

Entering a domain access code

The domain access code supports two related functions:

1. **Sealing a security token** — It is used to cryptographically seal the user’s credentials in a security token after they are successfully authenticated for a given user identity in this domain. **Sealing** a security token identifies the user, puts in read-only mode, and establishes the trusted origin.

2. **Validating a security token** — In an SSO operation, OpenEdge matches the access code used to seal the security token to the access code defined for the domain configuration. If they match, the SSO is successful, and the user identity represented by the security token is authorized to access OpenEdge resources in that domain.

Therefore, it is important to choose an access code for the domain that is unique to both the domain and the OpenEdge installation so the security token cannot inadvertently be used for SSO operations in another installation.

Enabling and disabling domains

Enabling and disabling domains is a way for a database administrator (DBA) or a developer deploying an application to control access to data. The DBA might want to temporarily disable access for certain domains during certain maintenance operations. A developer deploying an application might want to disable all application domains until the deployment process is otherwise complete.

To accommodate these and other needs, domain configurations include the option to enable or disable domains. A newly created domain is enabled by default.

Entering system options

One use of this field might be to specify mapping information between this OpenEdge domain and an operating system domain when the authentication system is _oslocal on a Windows system, which supports its own domains. In this way, a Windows user might extend the built-in validation of their Windows user name with some other ABL check involving their Windows domain as well.

A user-defined authentication system with a configured ABL authentication callback might accept a variety of options, depending on the user account system that the callback relies on to authenticate user credentials.

For more information on the syntax of the options you can specify in this field, see the section on using ABL callbacks in authentication systems in *OpenEdge Development: Programming Interfaces.*
Identifying the tenant to which a domain belongs

In a non-multi-tenant database, there is no tenant to identify, as a non-multi-tenant database has only a single tenancy that all users share. In a multi-tenant database, you must identify a tenant for every domain that you configure. Any tenant you identify in a domain configuration must already be configured in the database. Initially, a multi-tenant database has one default regular tenant pre-configured with the name, "Default". There are no super tenants configured in a database unless you create them.

In essence, tenancy provides authorization for a user to access data that is owned by a regular tenant or effectively owned by a super tenant. A user with regular-tenant authorization accesses only the tenant’s own data in multi-tenant tables, as well as all the data in tables shared by all tenants. A user with super-tenant authorization can potentially access all the data in all tables, similar to a real tenant. However a super-tenant user’s data access capabilities also depends on their ABL user permissions or SQL user privileges settings for table and field access, exactly any regular-tenant user.

In addition, through table and field permissions set on a domain, you can create a regular-tenant or super-tenant authorization pass with specific data access permissions for the configured tenant. You can also create as many domains for a single tenant as you need to provide the variety of data access controls that you require for the tenancy. For more information on multi-tenancy, see OpenEdge Getting Started: Multi-tenancy Overview. For more information on configuring tenants, see the documentation on multi-tenant database configuration using the Database Administration Console. For more information on setting access permissions on domains, see Chapter 4, “Configuring and Implementing Authorization in OpenEdge.”

In any case, by providing the name of a tenant in a domain configuration, all users that are members of this domain assume the configured tenancy when their user identity is authenticated for a connection to this multi-tenant database.

Note: Because the domain configuration for each multi-tenant database specifies the regular or super tenant through which a user accesses the database, when a given user identity is authenticated for a different database, the name of its regular or super tenant can also be different.

Pre-configured and reserved OpenEdge domains

OpenEdge pre-configures several built-in domains in every OpenEdge RDBMS that you cannot remove. These built-in domains include the following:

- **Default (blank) domain** — This domain is initially configured with the _oeusertable authentication system. You can configure it with any available authentication system, including a user-defined one. However, you cannot remove the default domain from the OpenEdge database, and you cannot change its domain name or tenant name ("Default"). It is provided for backward compatibility with earlier releases where users are not defined as members of a domain. So, if you migrate an application to OpenEdge 11 from an earlier release that does not define domains, in OpenEdge 11, all users of the application are now defined as members of the default domain. In this case, users can identify themselves using a non-qualified user ID (see the “Specifying a user ID for OpenEdge authentication” section on page 37).
Defining and configuring security domains

- **WINDOWS and UNIX** — These two domains are configured with the _oslocal authentication system and are enabled for user authentication operations against user accounts managed by the current operating system. You cannot change the configuration of these domains or remove them. OpenEdge reserves them for access to the database command-line utilities (_dbutil executables). These domains are not supported for use in an ABL application.

- **WINDOWSID and UNIXID** — These two domains are configured with the _oslocal authentication system and are enabled for SSO operations on the operating system process user ID. You cannot change the configuration of these domains or remove them. OpenEdge reserves these domains primarily for access to the database command-line utilities (_dbutil executables) when a database is not in an open and recovered state and the user’s already authenticated operating system user identity must be used to access the database. For an ABL application, OpenEdge also assigns one of these domains for any user who connects a database without authenticating a user identity and is thus assigned the default OS process user ID as their connection identity.

### Configuring authentication-enabled domains

As might be clear from the previous sections, OpenEdge cannot authenticate a user account name in all OpenEdge domains. And of those domains in which OpenEdge can authenticate users, some are restricted for use by SQL clients and database utilities, while others are available for user authentication in all OpenEdge database clients. The common requirements that allow OpenEdge to authenticate users in an OpenEdge domain define an authentication-enabled domain, regardless of which database client supports it.

Thus, an **authentication-enabled domain** is one where the domain is configured with:

- **An authentication system that is enabled for user authentication** — The Enabled or Enable authentication toggle box (depending on the tool) is selected in the authentication system configuration.

- **An authentication system that has access to a source of valid user accounts** — For a user-defined authentication system, as implemented by the configured ABL authentication callback.

- **The option to enable the domain for run-time access** — The Domain Enabled or Enabled toggle box (depending on the tool) is selected in the domain configuration.

Given all domains with this definition:

- The built-in, authentication-enabled domains support OpenEdge-performed user authentication anywhere user credentials can be specified for all database clients.

- The user-defined, authentication-enabled domains support only those OpenEdge-performed user authentication operations that are available as ABL statements, functions, or methods.
Configuring authentication

To configure authentication, the following tasks must be completed for all types of OpenEdge database clients and sessions:

- In multi-tenant databases, configure all required regular tenants and super-tenants. You must add a super-tenant if you want to make super-tenant access rights available for a database. Also, the tenant must be enabled for data access in order to authenticate the tenant identity. For more information, see the documentation on configuring tenants using OpenEdge Management or OpenEdge Explorer.

- Configure all required domains with their authentication system, access code, and for multi-tenant databases, their tenant (see the “Defining and configuring security domains” section on page 58). Note that you must configure at least one domain per each tenant or super-tenant to which you want to provide access in a multi-tenant database. That one domain can have the same name as the tenant for which it is configured.

- For OpenEdge-performed user authentication, configure users in the user accounts associated with each domain configured for user authentication. Note that the OpenEdge _User table accounts supported by _oeusertable domains, and the Windows user accounts supported by _oslocal domains are the only built-in user accounts that OpenEdge supports for access to multiple tenants and super-tenants of a multi-tenant database, because Windows supports multiple domains that can be arbitrarily mapped to tenants. Of these two choices, Windows user accounts offer the stronger overall security. Unix user accounts support only a single domain, and can therefore only authenticate a single tenant. Unix accounts can be useful in a multi-tenant database, for example, to support users of a single super-tenant domain, such as database administrators. However, using Unix accounts to authenticate a regular tenant is not recommended, because all Unix users would be limited to a single tenancy.

Caution: The OpenEdge _User table accounts do not meet recommended best practices for strong user authentication. The _User table accounts do not support: strong password storage, password rules, password history, resistance to off-line alterations, password cracker utilities, authentication time-out, and so on. Check these factors with your production site security policies when configuring domains to use _User table accounts.
• An additional option when setting up _User table accounts is to specify that a given account is available for SQL access only, which provides another level of authentication.

• Assign users to roles responsible for managing security. For DDL administration, you assign users to the role of SQL DBA (database administrator). For general ABL security, you assign users to the role of security administrator using the database administration tools. And for auditing, you assign users to specific audit roles.

• Finally, you can prevent users with the default (blank) user ID from connecting to or accessing a database. This requires all database access settings to specify a non-blank user ID.
Run-time domain configuration

OpenEdge supports multiple configurable domains. At run time, all configured and enabled domains are made accessible through a trusted domain registry. A trusted domain registry is a run-time cache of domain entries loaded for any OpenEdge session or database connection that establishes a user identity. For SQL clients and database command-line utilities, a separate local registry is loaded for every database connection.

For ABL database clients, OpenEdge supports three basic configurations for trusted domain registries:

- **Using local database registries** — Each database connection relies on the local domain registry automatically loaded by OpenEdge for each database connection to allow a user authentication or SSO operation to set the user identity for the connection. In an ABL client, a database connection can be configured to trust a domain registry that the ABL application can load in the ABL session.

- **Loading a session registry from a database** — The ABL client uses the `LOAD-DOMAINS( )` method on the `SECURITY-POLICY` system handle to load the domains of a single OpenEdge RDBMS into client memory, creating a session domain registry. A session domain registry allows the ABL client, through user authentication or SSO, to set a user identity for the ABL session that is separate from any database connection identity. In addition, by setting the database administration option, Use Application Domain Registry, for every database that is connected in an ABL session, all the databases rely on the single source of domain configuration provided by the session domain registry. Note that the session domain registry never automatically loads. So, if no ABL session registry is available when needed, the trusted domain registry for every database connection auto-defaults to the local database registry.

  *Note:* If you rely on database local domain registries, you can still use a single operation to set multiple database connections to the same identity as the session. However, you must ensure that the domain and user configurations are identical for every database, especially the access code that you assign to the same domain in each database.

- **Creating a session registry entirely in ABL** — The ABL client uses the `REGISTER-DOMAIN( )` method on the `SECURITY-POLICY` system handle to create and load domains into the session registry one domain at a time, which includes setting the domain name and description, domain type (authentication system), and the access code for each domain. This is the only way to build a custom session domain registry that might be a subset, or even an entirely different set of domains from those configured in connected databases. This might be useful, for example, if you rely on the domain name of an authenticated session user to determine what databases to connect. However, such a custom session domain is inherently more error prone to maintain than one loaded from a database, and it is less secure, because you have to expose the clear-text access code for each domain to the application. You also cannot use an ABL-created domain registry to authenticate identity for a multi-tenant database connection, because such a registry has no tenant information associated with the registered domains.
Note: In an ABL application, loading or creating any session registry is one of the first tasks after starting up, so user logins can be supported.
Entering user credentials in OpenEdge

When a user runs any database client, they typically enter a user ID and password as their user credentials, and are often prompted to enter the user and domain name separately. Some of these clients might also run with the default blank user ID (blank user and domain name) if the blank user ID is enabled for database access (using database administration tools) and the application otherwise supports it. However, an SQL client has no single default identity and always requires a non-blank user ID.

Table 3 shows the supported formats with which a user can enter a user ID that is directly interpreted by OpenEdge. These formats are necessary because OpenEdge supports multiple domains, and many users have legacy user accounts that never supported domains. If these legacy accounts are now configured with the default domain, users can enter their non-qualified user ID as they did when it had no domain configured for it.

Table 3: Formats for entering an OpenEdge user ID

<table>
<thead>
<tr>
<th>This format...</th>
<th>Means...</th>
</tr>
</thead>
<tbody>
<tr>
<td>No entry (internally, &quot;&quot;)</td>
<td>The blank user in the default (blank) domain</td>
</tr>
<tr>
<td>@</td>
<td>The blank user in the default domain</td>
</tr>
<tr>
<td>@domain-name</td>
<td>The blank user in the specified domain</td>
</tr>
<tr>
<td>user-name</td>
<td>The specified user in the default domain</td>
</tr>
<tr>
<td>user-name@</td>
<td>The specified user in the default domain</td>
</tr>
<tr>
<td>user-name@domain-name</td>
<td>The specified user in the specified domain</td>
</tr>
</tbody>
</table>
Authentication in ABL applications

In addition to configuring a run-time domain registry, the primary component for performing authentication in an ABL application is the handle-based client-principal object, which is the security token for passing security information between the ABL application and the OpenEdge runtime (AVM). A client-principal object is involved with every user authentication or SSO operation in an ABL application. Either OpenEdge creates and seals the object in response to authenticating a user ID and password when creating a new database connection, or you create and initialize the object in order to authenticate and set a user identity for an existing ABL session or database connection. You can then use a client-principal previously authenticated and sealed by either your or another ABL session to set the user identity for an ABL session or database connection using SSO.

Note: A standard best practice is to have one and only one instance of a client-principal object for each login session.

Initializing a client-principal object for user authentication

The client-principal object that you create has a number of attributes that you can set and methods that you can invoke to initialize it for user authentication. The most important of these for an OpenEdge-performed user authentication are the USER-ID, DOMAIN-NAME, PRIMARY-PASSPHRASE, and SESSION-ID attributes. Note that the USER-ID attribute actually specifies the non-qualified user ID (or user name). You can initialize both the USER-ID and DOMAIN-NAME attributes using a single fully qualified user ID that you assign to the QUALIFIED-USER-ID attribute. For more information on setting a fully qualified user ID see the “Entering user credentials in OpenEdge” section on page 70. For information on setting the SESSION-ID attribute, see the “Exporting and importing a client-principal object” section on page 72. Other possible initialization settings of a client-principal object include attributes to specify:

- **DOMAIN-DESCRIPTION** attribute — Domain description
- **AUDIT-EVENT-CONTEXT** attribute — Audit context information
- **LOGIN-EXPIRATION-TIMESTAMP** attribute — Date and time of login expiration
- **CLIENT-WORKSTATION, CLIENT-TTY, and LOGIN-HOST** attributes — Session login environment
- **ROLES** attribute — List of user role names defined in the format of a permissions list passed to the CAN-DO function.
- **SET-PROPERTY( ), GET-PROPERTY( ), and LIST-PROPERTY-NAMES( ) methods** — For setting application-defined name-value pairs that can hold any useful login information

There are additional attributes for returning the status of a client-principal object and its login session, such as the DOMAIN-TYPE, LOGIN-STATE, STATE-DETAIL, and SEAL-TIMESTAMP attributes. Yet another set of attributes track a user's tenancy for multi-tenant database connections during a login session (see the “Managing identity for multi-tenancy” section on page 76).
Once you complete a user authentication operation and the object is sealed (starting a login session), you can no longer directly modify its attributes or other settings. When OpenEdge creates a client-principal as it connects to a database, it sets only the most important attributes before sealing the object. You can re-initialize a sealed object to authenticate a new login session using the INITIALIZE( ) method, which creates a new unsealed one, but the old security token is discarded and cannot be re-used to set the user’s identity. Otherwise, a login session otherwise remains active until you invoke the LOGOUT( ) method or the AUTHENTICATION-FAILED( ) method on the client-principal object to change its LOGIN state.

Exporting and importing a client-principal object

An important feature of the client-principal object is that it can be exported from one ABL session and imported to another using the EXPORT-PRINCIPAL( ) and IMPORT-PRINCIPAL( ) methods. This can be done before or after the user identity it represents has been authenticated and the object sealed.

Exporting an unsealed client-principal object, for example, allows an ABL client session to pass a user identity to an AppServer acting as an authentication service. The AppServer then imports the security token as its own client-principal object and after authenticating and sealing the object with a user authentication operation, it exports the sealed security token back to the ABL client to set the user identity for the session and database connections, as appropriate, using an SSO operation.

Exporting and importing a sealed client-principal allows an authenticated user identity to be passed from one ABL session to another in a multi-tier application, allowing multiple ABL sessions of one application to set the same user identity through SSO or to maintain a single application identity that is separate from the individual identities set for each session. In either case, the original user authenticating session typically initializes the SESSION-ID attribute with a unique value that identifies the sealed client-principal as representing the identity for the entire application. Depending on the operating mode of application AppServers, this also allows all ABL sessions in a single (virtual) application “session” to share data that is stored and keyed with this SESSION-ID value in a context database.

A useful source for the SESSION-ID attribute value is the ClientContextId property on the Progress.Lang.OERequestInfo class. The information encapsulated by this class is passed with any communication between an AppServer client and the AppServer. The object is accessible to the AppServer with a request from the client through the CURRENT-REQUEST-INFO attribute of the SESSION system handle. The object is also accessible to the client with a response from the AppServer in the RESPONSE-INFO attribute on the server object handle used to access the AppServer. Similarly, a client can set the ClientContextId property value by accessing the OERequestInfo object using the REQUEST-INFO attribute on the server object handle, and the AppServer can set a response using the CURRENT-RESPONSE-INFO attribute on its SESSION handle. By using the same unique value for the ClientContextId property an application-wide context can be maintained across many clients and AppServers, and the same value can be stored in the SESSION-ID attribute of an exported or imported client-principal object to keep track of the user identity for all these connected client and AppServer sessions. For more information on using the ClientContextId property and the Progress.Lang.OERequestInfo class, see OpenEdge Application Server: Developing AppServer Applications. For examples and information about using the ClientContextId property to maintain a user login session across the several ABL sessions of a multi-tier application, see OpenEdge Development: Programming Interfaces.
Authentication in ABL applications

**Note:** The real type of the object referenced by attributes such as REQUEST-INFO and CURRENT-RESPONSE-INFO is Progress.Lang.OERequestInfo. However, the object is stored in the handle as a Progress.Lang.Object. Therefore, you must cast the object reference that is the attribute value down to a Progress.Lang.OERequestInfo reference in order to access the object’s class members.

OpenEdge-performed authentication and SSO

Using client-principal objects, ABL allows you to set the same or a separate user identity for each of an application’s ABL sessions and database connections, as well as allowing you to maintain a separate identity for an entire ABL application. In order to set session and database connection identity, ABL supports the following mechanisms for either user authentication or SSO operations, or both:

- **AVM startup** — Optionally authenticates a user identity for each database connection by specifying the User ID (-U) and Password (-P) startup parameters on the command line or in a parameter file. The domain specified for each user ID must be authentication-enabled. For each successfully authenticated database connection, OpenEdge creates a sealed client-principal object to represent the connection identity that you can return to an ABL session using the GET-DB-CLIENT function.

  **Note:** This mechanism does not support domains configured with user-defined authentication systems that are authentication-enabled using ABL callbacks.

- **CONNECT statement** — Authenticates user identities for new database connections exactly as for AVM startup, but from within the ABL application.

- **SETUSERID function** — Attempts to set the user identity for a single existing database connection in a user authentication operation from a specified user ID and password. The user ID can only specify domains configured for the _oeusertable authentication system. If the user authentication is successful, OpenEdge creates an authenticated and sealed client-principal object to represent the connection identity that you can return to an ABL session using the GET-DB-CLIENT function.

  **Note:** If successful, setting a database connection identity with this function locks out any setting of this connection identity using the SET-CLIENT( ) method on the SECURITY-POLICY system handle. SET-CLIENT( ) can be unlocked to set this connection identity by invoking SET-DB-CLIENT with the Unknown value (?) passed in place of the client-principal handle.

  **Note:** With limited domain support, Progress Software recommends that existing applications replace calls to this function with calls to the SET-DB-CLIENT function.
### SET-DB-CLIENT function

Attempts to set the user identity represented by a client-principal object for an existing database connection. It performs a user authentication operation if the client-principal is unsealed and the specified domain supports it, and seals the object if the authentication is successful. It performs an SSO operation if the client-principal object is sealed.

**Note:** If successful, setting a database connection identity with this method locks out any setting of this connection identity using the **SET-CLIENT** method on the **SECURITY-POLICY** system handle. **SET-CLIENT** can be unlocked to set this connection identity by invoking **SET-DB-CLIENT** with the Unknown value (?) passed in place of the client-principal handle.

### SET-CLIENT method

This method on the **SECURITY-POLICY** system handle initially attempts to set the user identity represented by a client-principal object for the current ABL session. It performs a user authentication operation if the client-principal is unsealed and the specified domain supports it, and seals the object if the authentication is successful. It performs an SSO operation if the client-principal object is sealed. If it successfully sets the session identity, it then attempts to set the identity for every connected database by implicitly calling the **SET-DB-CLIENT** function in an SSO operation on each database connection.

## Application-performed user authentication

OpenEdge allows you to write your own user account system in an ABL application, then use its sealed client-principal to set the authenticated user identity for ABL sessions and database connections. For domains configured with the built-in _extsso authentication system or a user-defined authentication system enabled for SSO only (see the "Defining and specifying the system type" section on page 60), you must implement the authentication system in an ABL application.

**Note:** Domains configured with the built-in _extsso or user-defined authentication systems, including those enabled for user authentication with ABL callbacks, cannot authenticate users for access to SQL clients or database utilities.

For application-implemented authentication:

- You handle the management of user accounts, which you must define and store securely, including all required user identification information.

- You determine the criteria for authenticating users, but the result must include an authenticated and OpenEdge-compatible user ID, and an additional unique value, that you can use to initialize the QUALIFIED-USER-ID and SESSION-ID attributes of a client-principal object. If the user authentication fails, call the **AUTHENTICATION-FAILED( )** method on the unsealed client-principal object to change its INITIAL state to the FAILED state, which properly registers a failed login audit event.
Authentication in ABL applications

- After you have authenticated a user identity and initialized the client-principal object to represent it, you must manually seal the client-principal object using the `SEAL( )` method, which starts a login session for the user. This method requires the access code that is configured for the user’s domain as an input parameter to cryptographically seal the security token that the object encapsulates. So, when you configure user-defined or `_extssso` domains be sure to make note of the access code that you assign to each one.

- After you have sealed the client-principal object, you can then use it in SSO operations with the `SET-DB-CLIENT` function or the `SECURITY-POLICY:SET-CLIENT( )` method to set the user identity for the ABL session and its database connections.

Caution: When you use the `SEAL( )` method, you must protect the domain access code, which is exposed in your ABL code. To avoid this problem, use domains that are configured with a user-defined authentication system that is enabled for user authentication using an ABL callback.

- When the user login session ends, the sealed client-principal is no longer needed. Terminate the login session by calling the `LOGOUT( )` method on the object handle to change its LOGIN state to the LOGOUT state, which properly registers a logout audit event. You can then safely delete or re-initialize the client-principal object using the `INITIALIZE( )` method.

You cannot take a user identity that must be authenticated with application-implemented user authentication and authenticate it for a connection to a database in the `CONNECT` statement or on the AVM startup command line. Only OpenEdge can authenticate users to startup a database connection. So, the initial database connection must be secured with a different identity, which you can reset with an application-authenticated identity using SSO.

Caution: Application-implemented user authentication is very flexible in that you can determine what constitutes a user and the criteria for their authentication. However, it is inherently less secure than using a built-in authentication system, such as `_oeusertable` or `_oslocal`, or a user-defined authentication system that is enabled for user authentication using an ABL callback. Using authentication systems that support OpenEdge-performed user authentication, you can complete the entire user authentication in a single operation with `SET-DB-CLIENT`, `SET-CLIENT( )`, or the `CONNECT` statement, which automatically seals the client-principal using the domain access code encrypted and stored in the trusted domain registry. Your application never needs to, and cannot, access the value of this code.

Therefore, implement application user authentication only if you have implemented a secured code-base environment, preferably on a locked-down authentication server, especially when exposing domain access codes within your application.
Managing identity for multi-tenancy

As noted previously, when a user identity is authenticated for a multi-tenant database connection, it assumes the regular or super tenancy that is configured for the user’s domain in that particular database. For a different multi-tenant database, the user’s tenant or super tenant can have a different name, as specified by the domain configuration in that database.

Because an ABL application and its databases can be configured to use a single session domain registry for all database connections, the domain registry does not contain tenancy information for every database that a user might access. Instead, ABL reads the database domain configuration for each new database connection that is authenticated for a given user identity in order to identify the user’s tenancy. However, ABL also caches this database tenancy information in the user’s client-principal object. This allows the user to assert the same tenancy for additional connections to the database in other ABL sessions or for another connection to the same database that has been disconnected in the current ABL session, all without having to lookup the tenant identity in the database each additional time. The user thus gets consistent tenant access across the login session because the tenant ID in the client-principal is used for all SSO operations that set the user identity.

This cached tenancy information includes three components, the:

- Database logical name or alias
- Name of the tenant or super tenant
- Tenant ID for the tenant or super tenant (set as the value of the External ID in the database tenant configuration)

These three components help to ensure that the tenancy information for a given user identity is unique for all likely databases, even if two different databases have the same logical name. ABL maintains this cache of tenancy information in a given client-principal object for every database connection for which the object has been used to authenticate the connection identity. The client-principal object maintains this cache whether or not the database is still connected or is connected in the same ABL session where the connection was originally authenticated. The information in this cache is only removed when the client-principal object is deleted from a session.

Generally, OpenEdge identity management enables tenancy to be transparent so your code works the same whether it is running against a multi-tenant or non-multi-tenant database. However, if you have a need (perhaps to retrieve other tenancy information as a super-tenant), you can access the current database tenancy information that is cached in a client-principal object using the following attribute and methods:

- **DB-LIST attribute** — Returns a comma-separate list containing the logical names or aliases of all databases for which the object has been used to set a connection identity
- **TENANT-NAME( ) method** — Returns the name of the tenant or super-tenant whose identity is configured for the user’s domain in the specified database
- **TENANT-ID( ) method** — Returns the configured tenant ID for the tenant or super-tenant identity in the specified database
Configuring and Implementing Authorization in OpenEdge

Where all OpenEdge RDBMS clients rely on a single authentication model for all of their security components, OpenEdge supports two entirely different authorization models, one for SQL, and the other for ABL and everything else. The only common element between them is that they both rely on the same forms of OpenEdge user identity to configure authorization for users. The following sections describe the basic elements of how to work with these authorization models in OpenEdge:

- OpenEdge authorization models
- Non-multi-tenant vs. multi-tenant authorization
- Tenant data access
- When a user’s domain is available for access control
- User ID patterns as ACLs and ABL permissions checking
OpenEdge authorization models

OpenEdge supports two basic authorization models, both of which rely on different types of access control lists (ACLs):

- **SQL model** — Where all access to database resources is denied by default and must be granted to a user identity as a privilege using a `GRANT` statement. This privilege can later be revoked using a `REVOKE` statement. For more information on the SQL model, see *OpenEdge Data Management: SQL Development* and *OpenEdge Data Management: SQL Reference*.

- **ABL model** — Where all access to database resources is granted by default, and must be specifically limited in order to deny access to particular tables and fields. These access controls can be configured by editing table and field permissions in data security using the database administration tools. These permissions can control access to a database through:
  - Database command-line utilities
  - Database administration tools
  - ABL clients

You can also manually control access to ABL application features using the `CAN-DO` function by checking a given user ID against permissions that are similar to those used to control access to tables and fields.
Non-multi-tenant vs. multi-tenant authorization

Unlike with OpenEdge authentication, where a user can authenticate to a database connection using a fully qualified user ID, regardless of the database tenancy, a fully-qualified user ID is only recognized for authorization settings on a multi-tenant database. For a non-multi-tenant database, all user IDs and user ID patterns must be specified using only a non-qualified user ID (the user name only). This is true for both the SQL and ABL authorization models. Later sections in this chapter describe the differences in how user IDs work in the two different cases.

The one exception to this is for database command-line utilities, which always use fully-qualified user IDs to authorize any roles or capabilities, such as audit roles or the system administrator for Transparent Data Encryption (TDE). (For more information on TDE, see OpenEdge Getting Started: Core Business Services - Security and Auditing.)
Tenant data access

Both models allow you to control data access for tenant and super-tenant identities based on a user's domain. For SQL, this is determined by a combination of domain membership, domain tenancy, and the role or roles that are granted. For example, a regular-tenant user in one domain can grant privileges only to another user in the same domain. However, an SQL user who is granted the DBA role can grant privileges to users in any domain, and therefore with any tenant identity. The actual granting and revoking of SQL roles and privileges is done for tenant data access using lists of fully qualified user ID's.

In ABL, a single ACL can deny or grant a table or field access privilege to all users of a domain or to specific users of a domain, and therefore to specified users with a given tenant identity, and this access (as for all data access) must be configured by a user who is a security administrator. For some examples, see Table 9.
When a user’s domain is available for access control

The name of a user’s domain is available for authorization purposes depending on the type of database client, the type of access, and whether the database is multi-tenant or non-multi-tenant (non-tenant). In general, authorization to access a multi-tenant database is always controlled using a fully qualified user ID, including the domain name. However, authorization to access a non-tenant database is always controlled using only the user name—non-qualified user ID without the domain name—even if the user has been authenticated in a specified domain using a fully qualified user ID.

Table 4 shows more specifically for what database client and what type of access you can use a fully qualified user ID or only the user name to control access to database resources, where user@domain represents a fully qualified user ID and user-name represents non-qualified user ID.

<table>
<thead>
<tr>
<th>This database client type...</th>
<th>For database utility access uses...</th>
<th>For tenant data access uses...</th>
<th>For view access uses...</th>
<th>For table access uses...</th>
<th>For field/column access uses...</th>
</tr>
</thead>
<tbody>
<tr>
<td>Database command-line utilities</td>
<td>user@domain</td>
<td>user@domain</td>
<td>–</td>
<td>user@domain</td>
<td>–</td>
</tr>
<tr>
<td>ABL non-tenant</td>
<td>–</td>
<td>–</td>
<td>–</td>
<td>user-name</td>
<td>user-name</td>
</tr>
<tr>
<td>ABL multi-tenant</td>
<td>–</td>
<td>user@domain</td>
<td>–</td>
<td>user@domain</td>
<td>user@domain</td>
</tr>
<tr>
<td>SQL non-tenant</td>
<td>–</td>
<td>–</td>
<td>user-name</td>
<td>user-name</td>
<td>user-name</td>
</tr>
<tr>
<td>SQL multi-tenant</td>
<td>–</td>
<td>user@domain</td>
<td>user@domain</td>
<td>user@domain</td>
<td>user@domain</td>
</tr>
</tbody>
</table>

Access to restricted database command-line utilities is granted to two user roles:

- ABL Security Administrator
- SQL DBA

In general, an ABL Security Administrator is granted access to:

- Restricted database command-line utilities
- Restricted ABL database configuration API’s and classes
- Management of auditing roles
- Management of table and field time permissions checking at compile time or run time
- Restricted meta-schema tables, such as _User, _File, _Db, and so on
An ABL Database Administrator must take all these access permissions into account when determining what users they assign to the Security Administrator role.

An SQL DBA is granted access to:

- Restricted database command-line utilities
- Management of security for views, tables and fields

All of the database client types perform run-time authorization to tenant data, and always use a fully-qualified user ID to do this type of access control.
User ID patterns as ACLs and ABL permissions checking

ABL permissions for table and field access test a given user ID against a permission string, which is a comma delimited list of permission entries. Permission testing is performed in the ABL client at different times, all using the same permission checking algorithm:

1. Compile-time permission checking is performed when an ABL source (.pl/.i/.w/.cls) file is compiled into r-code.

   **Note:** Compile-time permission checking no longer is a recommended best practice data security model when a database can execute pre-compiled modules from any source.

2. R-code execution checks permissions to access:
   a. Meta-schema and schema tables at run time
   b. User data tables when run-time permission checking is enabled
   c. User data tables accessed through dynamic buffers and queries

The matching rules for permission checking are simple, but powerful:

- The first match of the user ID against one of the pattern entries ends the check and returns the results to the caller.
- When no match between the user ID and any pattern entry exists, permission is always **denied**.
- If the pattern entry begins with an exclamation mark ("!"), permission is **denied** when a match between the user ID and pattern entry exists.
- If the matched pattern does not begin with an exclamation mark, permission is **granted** when a match between the user ID and the pattern entry exists.

Table 5 shows how a user ID match to denying or granting patterns affects ABL permissions.

**Table 5:** Granting or denying ABL permissions

<table>
<thead>
<tr>
<th>If the user ID matches...</th>
<th>This pattern...</th>
<th>Grant/Deny permission...</th>
</tr>
</thead>
<tbody>
<tr>
<td>No</td>
<td>!pattern-entry1, pattern-entry2</td>
<td>Deny</td>
</tr>
<tr>
<td>Yes</td>
<td>pattern-entry</td>
<td>Grant</td>
</tr>
<tr>
<td>Yes</td>
<td>!pattern-entry</td>
<td>Deny</td>
</tr>
</tbody>
</table>
The patterns contained in tables and field permission entries support wildcard characters ‘*’ and ‘.’, for multi-character matches and single character matches respectively. Table 6 lists examples of supported wildcard patterns.

Table 6: Wild cards in user ID patterns

<table>
<thead>
<tr>
<th>This pattern...</th>
<th>Matches...</th>
</tr>
</thead>
<tbody>
<tr>
<td>The blank user ID</td>
<td>All user ID’s</td>
</tr>
<tr>
<td>*</td>
<td>All user ID’s</td>
</tr>
<tr>
<td>mark</td>
<td>All user ID’s that begin with “mark”</td>
</tr>
<tr>
<td>mark*</td>
<td>All user ID’s that begin with “mark”</td>
</tr>
<tr>
<td>*jones</td>
<td>All user ID’s that end with “jones”</td>
</tr>
<tr>
<td>db*user</td>
<td>All user ID’s that begin with “db” and end with “user”</td>
</tr>
</tbody>
</table>

The ABL permissions can be configured either in a deny authorization model or in an industry standard grant authorization model. A deny model is when all users are granted permission and specific users are denied permission. This deny authorization model is no longer recommended best practice as its default is to grant a new user account access unless otherwise denied. The grant authorization model is the industry recommended type, and in this model a user account is denied access until explicitly granted. The grant model is stronger as it denies new user accounts access until the DBA explicitly grants them access. The basic forms of ABL permission lists for the two authorization models are as follows.

To deny permissions, use this pattern:

**Syntax**

!user-id-pattern[,!user-id-pattern]..., *

To grant permissions, use this pattern:

**Syntax**

user-id-pattern[,user-id-pattern]...

As illustrated above, non-multi-tenant databases use non-qualified user ID’s for permission checking, while multi-tenant databases use fully qualified user ID’s. OpenEdge 11.0 extends its ABL permission checking to use either non-qualified or fully qualified user ID’s at all times. In this way, databases with existing user ID patterns continue to work while a database is being converted to multi-tenancy and back. During conversion, all data is moved to the default tenant, so existing non-qualified user IDs continue to work.
The user ID’s and ID patterns used for permission checking change as follows:

- The user ID is split into two fields: the user name and the domain name (minus the ‘@’ domain delimiter). If the user ID does not contain a domain name delimiter, the blank domain name is assumed. Table 7 shows some examples.

<table>
<thead>
<tr>
<th>Source user ID</th>
<th>User name used for pattern matching</th>
<th>Domain name used for pattern matching</th>
</tr>
</thead>
<tbody>
<tr>
<td>&quot;rjones&quot;</td>
<td>&quot;rjones&quot;</td>
<td>&quot; &quot; (blank domain name)</td>
</tr>
<tr>
<td>&quot;<a href="mailto:rjones@acme.com">rjones@acme.com</a>&quot;</td>
<td>&quot;rjones&quot;</td>
<td>&quot;acme.com&quot;</td>
</tr>
</tbody>
</table>

- The ID pattern entry is split into two patterns: the user name pattern and the domain name pattern (minus the ‘@’ domain delimiter). If the pattern does not contain a domain name delimiter, the blank domain name is assumed. Table 8 shows some examples.

<table>
<thead>
<tr>
<th>Source pattern entry</th>
<th>User name used for pattern matching</th>
<th>Domain name used for pattern matching</th>
</tr>
</thead>
<tbody>
<tr>
<td>&quot;rjones&quot;</td>
<td>&quot;rjones&quot;</td>
<td>&quot; &quot; (blank domain name)</td>
</tr>
<tr>
<td>&quot;<a href="mailto:rjones@acme.com">rjones@acme.com</a>&quot;</td>
<td>&quot;rjones&quot;</td>
<td>&quot;acme.com&quot;</td>
</tr>
<tr>
<td>&quot;*&quot;</td>
<td>&quot;*&quot;</td>
<td>&quot;*&quot;</td>
</tr>
</tbody>
</table>

- For a pattern match to occur between a user ID and a pattern entry:
  - The pattern entry contains the single wildcard pattern ‘*’, which always matches both the user name and the domain name.
  - A match must exist between the user ID’s user name and the pattern entry’s user-name pattern and between the user ID’s domain name and the pattern entry’s domain-name pattern.

The use of wildcards in patterns produces some equivalencies between non-qualified and fully qualified user IDs and ID patterns. For non-qualified user ID patterns, full qualification is implied:

\[
\begin{align*}
  ** & == "*@*" \\
  * & == "@" \\
  "mark" & == "mark@"
\end{align*}
\]
Table 9 shows some examples of fully qualified user ID matches.

<table>
<thead>
<tr>
<th>This pattern...</th>
<th>Matches...</th>
</tr>
</thead>
<tbody>
<tr>
<td>The blank user name in the blank domain</td>
<td></td>
</tr>
<tr>
<td>*</td>
<td>All user ID’s</td>
</tr>
<tr>
<td><a href="mailto:mark@acme.com">mark@acme.com</a></td>
<td>The “mark” user name in the “acme.com” domain</td>
</tr>
<tr>
<td>mark</td>
<td>The “mark” user name in the blank domain</td>
</tr>
<tr>
<td>mark*</td>
<td>All user names that begin with “mark” in the blank domain</td>
</tr>
<tr>
<td>*jones</td>
<td>All user names that end with “jones” in the blank domain</td>
</tr>
<tr>
<td>db*user</td>
<td>All user names that begin with “db” and end with “user” in the blank domain</td>
</tr>
<tr>
<td>@acme</td>
<td>The blank user name in the “acme” domain</td>
</tr>
<tr>
<td>*@acme</td>
<td>All user names in the “acme” domain</td>
</tr>
<tr>
<td>@</td>
<td>The blank user name in the blank domain</td>
</tr>
<tr>
<td>mark@*</td>
<td>The “mark” user name in any domain</td>
</tr>
<tr>
<td><em>@</em></td>
<td>Any user name in any domain</td>
</tr>
<tr>
<td>mark*@acme.*</td>
<td>Any user name that begins with “mark” in any domain that begins with “acme.”</td>
</tr>
<tr>
<td><em>@.</em>.admins</td>
<td>Any user name in any domain that ends with “.admins”</td>
</tr>
</tbody>
</table>
Glossary of Terms

This is a glossary of basic identity management terms. It also contains some terms referenced from related security technologies, such as cryptography. For more information on cryptography, cryptographic terms, and other related security technologies, see *OpenEdge Getting Started: Core Business Services - Security and Auditing*.

In the following glossary descriptions, any word in *italics* is another term defined in the glossary.
Access control

See user authorization.

Access control list (ACL)

A list of user IDs or user ID patterns, associated with a unit of data and one or more operations on that data, that grant or deny access to the specified data and operations. Similar lists are used to assign users to roles, especially data security and administration roles.

For example, in SQL, an ACL consists of a list of user IDs associated with a specific data access privilege that is granted or denied. In ABL, an ACL consists of a list of user ID patterns that might grant specified access permissions to some users, including groups of users, and deny the same access permissions to other users or groups of users. In both cases, the established user identity is tested against the appropriate ACL when it attempts to access a controlled resource.

Access controls

Electronic records that contain the information used in the user authorization process. Usually, access controls record what users, groups, and roles can perform what operations on a given set of resources (such as files, database records and fields, network connections, and so on).

Access token

See security token.

Authentication

The process performed by an authentication system to validate a user's asserted identity and determine their abilities and rights to access data sources.

Authentication system

A subsystem, within a security system, designed to validate the identity of a user prior to granting access to resources. Validation can be in the form of user authentication or single sign-on (SSO). Inputs to authentication mechanisms include passwords and intelligent tokens. Upon successfully completing authentication, the authentication system issues a security token, which can be used by other security systems in the same security domain. Inputs to SSO mechanisms include security tokens generated by authentication systems in the same security domain.
Authn

See user authentication.

Authorization

The process of determining an authenticated user's rights or permission to use a system resource. Also known as the process of granting access.

Authorization system

A subsystem, within a security system, designed to perform authorization. Inputs include the user's security token (containing their identity and rights) and a configuration that holds the list of protected resources and what user identities or rights may access them.

Authz

See user authorization.

Cipher

A software algorithm used for encryption, for example, DES, AES, RSA, Blowfish, and so on.

Clear text

The original data value before it is encrypted, or the original data value after it is decrypted.

Data integrity

The process of detecting when binary data has been inappropriately altered or corrupted.
Data-integrity seal

A message digest, digital signature, or HMAC that is attached to a block of data to provide validation of the block’s data integrity and originator. The seal dates back to when the state of data-integrity technology was based on wax seals that were placed on paper documents. The wax seals were embedded with an imprint that was unique to the person who generated the document. Examples in computer technology include a database record, disk file, etc.

Data privacy

Data access restricted to named users while at rest or in transit. Combination of technologies:

- User authentication (for authorization)
- User authorization (data-access controls, including access control to the data’s encryption key)
- Encryption (of the data)

Decrypt

To use encryption to transform encrypted data into clear-text data.

Digest

A cryptographic hash algorithm. See also message digest.

Digital signature

An electronic signature that also includes data integrity. It is comprised of a message digest, to supply the data integrity, which is encrypted using an asymmetric cipher and the signer’s private encryption key to provide the authenticity.

Disallow Blank UserId Connections

An option offered in the Database Options of the OpenEdge Data Administration utility’s dialog box. When selected, this option allows an ABL OpenEdge database to be connected only after authenticating a non-blank user ID (including the domain) using the User ID (-U) and Password (-P) client connection parameters.
Domain

Shortened form of OpenEdge security domain.

Domain registry

See registry.

Encrypt

To use encryption to transform clear-text data into encrypted data.

Encrypted data

Data that has been encrypted using a cipher specification, optional IV, and encryption key.

Encrypted private data

Encrypted data who's cipher algorithm, cipher-mode, IV, and encryption key information is restricted to entities who have been explicitly granted access.

Encryption

The algorithmic obfuscation of binary data.

Encryption algorithm

See cipher.

Encryption key

A binary value used to make the encrypted data output from an encryption operation appear random.
Initialization vector, an optional binary value that is used in conjunction with a second binary [key] value to produce a two-factor encryption key. Not all cipher specifications allow the use of an IV. (Theory: the IV and key are stored, owned, and access-controlled as separate entities so that an attacker must break into two locations to obtain the full encryption key.)

HMAC

A form of data integrity seal that combines a message digest, for data integrity checking, with a secret key value that provides validation of the message's originator. An HMAC is used to exchange messages between two points, where the receiver must be capable of validating who the author of the message is and that the message has not been tampered with (i.e., altered). This form of security works when each author has a unique secret key value (often a password or passphrase) and shares their key with each message's receiver.

Identity

See user identity.

Login

See user login.

Login credentials

See user credentials.

Login session

See user login session.

Logout

Voluntary surrendering, and invalidation, of a user’s login security token, therefore surrendering their ability to have a system or application perform any more operations for them.
MD5

Message Digest #5, which is a digest algorithm developed by RSA Corporation that produces a fixed length 16-byte message digest.

Message digest

A fixed length binary output of a digest (cryptographic hash) algorithm, which in theory produces a unique bit pattern for every unique byte sequence given to it. Commonly used in data-integrity checking. See also MD5 and SHA-1.

Obfuscation

A software algorithm that scrambles data in order to hide it from others. The algorithm scrambles the data in such a way that it can be unscrambled and the original value recovered. Many times, obfuscation refers to a very light-weight (fast), low security, algorithm used for short-term storage, such as in-process memory. Full-blown encryption algorithms are much more heavy-weight (slow), complex, high-security algorithms used for long-term storage, such as in a database.

OpenEdge domain

See OpenEdge security domain.

OpenEdge security domain

A collection of one or more ABL session, ABL OpenEdge database connection, SQL 92, and database command-line utility security systems that share the same configuration and OpenEdge security token. Each member's security system loads and caches its configuration into a registry for run-time user authentication and SSO operations.

OpenEdge security token

An OpenEdge, proprietary implementation of a security token. It can be transported between [distributed] ABL session and/or ABL OpenEdge database connection security systems for the purpose of SSO.

PAM

See Plug-in Authentication Module.
Plug-in Authentication Module

An internal or external software module that provides an abstraction layer between an authentication system and individual user account system APIs. Each user account system has a purposed plug-in module. Which plug-in module is used by the authentication subsystem at run time comes from the authentication system's configuration. Each time support is added for a new user account system, a new plug-in module is written to support it.

Registry

An authentication system’s run-time cache of OpenEdge domains and Plug-in Authentication Module (PAM) configurations. Each instance of the security systems for ABL sessions, ABL OpenEdge database connections, SQL 92 servers, and database command-line utilities has its own private registry cache. The input cached into a registry originates from the _Sec-Authentication-* tables contained in an OpenEdge database.

Seal

See Data-integrity seal.

Security domain

A security domain is considered to be an “application, or collection of applications, whose security systems are configured to issue and/or trust a common security token for the purposes of performing authentication, authorization, and/or session management.”

Security system

A security system consists of a combination of hardware and/or software that limits the exposure of application data, a computer, or a computer network to attack from intruders. Security systems generally include subsystems that consume external configurations and perform user authentication, authorization, and auditing operations.
Security token

A binary collection of information about a user’s identity and security that is created and issued by an authentication system and is used by an authorization system to authorize access to data sources. Examples of a security token’s contents include user roles, user IDs, group IDs, and other information that can be used to control access to content.

A secure and data-integrity protected collection of user identity information, including the user’s account information, capabilities, rights, privileges, roles, groups, and the identity of the authentication system or application that can authenticate (or has already authenticated) the user’s identity. An security token is a transportable block of data that can be used as proof of user identity by any systems or applications that have a trust relationship with the originator of the security token.

SHA-1

Secure Hash Algorithm # 1, which is a digest algorithm developed for the United States government that produces a fixed length 20-byte message digest.

Signature

A unique pattern, identifiable with a single person or thing, that is used for proof of authenticity. See also digital signature.

Single sign-on (SSO)

The process of requiring an end user to login to any member of a security domain one time, then being able to access any other member of the security domain without having to login again. The SSO process takes the security token resulting from a successful authentication by an authentication system, transports it to any other security system within its security domain, validates it as coming from a trusted member of the security domain, then uses it to establish the authenticated user identity for authorization.

Trust relationship

A logical connection that is established between security domains so that the rights and privileges of a user (asserted through a security token) can be validated by, and shared with, the other security domains. (A trust relationship allows users to login once and gain access to resources in other security domains without having to be authenticated again.)
Trust Application Domain Registry

An option offered by the OpenEdge Data Administration utility’s Database Options dialog box. When set, this option causes an ABL OpenEdge database connection’s authentication system to use the ABL session’s domain registry instead of its own private registry. This enables multiple OpenEdge databases to share the same registry domain and Plug-in Authentication Module configuration.

User account

An electronic record that represents a single computer system or application user’s identity. This identity includes a unique account identifier (such as a user name) and one or more, shared secrets (such as passwords, fingerprints, digital signatures, and so on) that can be used during a user authentication operation to validate that the user account can only be used as proof of identity by the user it represents.

User account system

Encapsulates user account storage, administration tools, and a library of programmable APIs used by authentication systems or Plug-in Authentication Modules for authentication.

User authentication

Often abbreviated as authn, the process performed by a computer system or application to validate that a user’s login credentials match the information recorded in a named user account. The result of successful user authentication is a sealed security token.

User authorization

Often abbreviated as authz, the process of validating a user security token and using its access controls to regulate what operations the user can perform.

User credentials

A collection of information that includes a user account name, shared secrets (passwords, etc.), and other (possibly identifying) information, which is submitted by a user during a user authentication process. Also referred to as login credentials.
User identity

Otherwise known as a user ID, the validated user account name that is unique to an individual. User identity names can be non-qualified or fully qualified. Non qualified names include only the user account name as stored in its user account system. Fully-qualified user identities include the user account name and the name of the [security] domain in which to perform authentication. Normally, domains are delimited from the user account name by a single character: OpenEdge uses the ‘@’ as a domain delimiter.

User login

A process of identifying one’s self, by submitting login credentials to a computer system or application as proof of identity to a user authentication process. The system or application must successfully complete the user authentication before it will run applications or operations on one’s behalf.

User login session

A period of time after a security token is sealed during which it represents a valid user identity. A user login session is typically auditable for key events, such as the initial login, the final logout, and other audit events that trace changes of state in the security token between the two.