



NTLM

Feature Description

UPDATED: 29 July 2023

© 2022 Progress Software Corporation and/or one of its subsidiaries or affiliates. All rights reserved.

These materials and all Progress® software products are copyrighted and all rights are reserved by Progress Software Corporation. The information in these materials is subject to change without notice, and Progress Software Corporation assumes no responsibility for any errors that may appear therein. The references in these materials to specific platforms supported are subject to change.

#1 Load Balancer in Price/Performance, 360 Central, 360 Vision, Chef, Chef (and design), Chef Habitat, Chef Infra, Code Can (and design), Compliance at Velocity, Corticon, Corticon.js, DataDirect (and design), DataDirect Cloud, DataDirect Connect, DataDirect Connect64, DataDirect XML Converters, DataDirect XQuery, DataRPM, Defrag This, Deliver More Than Expected, DevReach (and design), Driving Network Visibility, Flowmon, Inspec, Ipswitch, iMacros, K (stylized), Kemp, Kemp (and design), Kendo UI, Kinvey, LoadMaster, MessageWay, MOVEit, NativeChat, OpenEdge, Powered by Chef, Powered by Progress, Progress, Progress Software Developers Network, SequeLink, Sitefinity (and Design), Sitefinity, Sitefinity (and design), Sitefinity Insight, SpeedScript, Stylized Design (Arrow/3D Box logo), Stylized Design (C Chef logo), Stylized Design of Samurai, TeamPulse, Telerik, Telerik (and design), Test Studio, WebSpeed, WhatsConfigured, WhatsConnected, WhatsUp, and WS_FTP are registered trademarks of Progress Software Corporation or one of its affiliates or subsidiaries in the U.S. and/or other countries.

Analytics360, AppServer, BusinessEdge, Chef Automate, Chef Compliance, Chef Desktop, Chef Workstation, Corticon Rules, Data Access, DataDirect Autonomous REST Connector, DataDirect Spy, DevCraft, Fiddler, Fiddler Classic, Fiddler Everywhere, Fiddler Jam, FiddlerCap, FiddlerCore, FiddlerScript, Hybrid Data Pipeline, iMail, InstaRelinker, JustAssembly, JustDecompile, JustMock, KendoReact, OpenAccess, PASOE, Pro2, ProDataSet, Progress Results, Progress Software, ProVision, PSE Pro, Push Jobs, SafeSpaceVR, Sitefinity Cloud, Sitefinity CMS, Sitefinity Digital Experience Cloud, Sitefinity Feather, Sitefinity Thunder, SmartBrowser, SmartComponent, SmartDataBrowser, SmartDataObjects, SmartDataView, SmartDialog, SmartFolder, SmartFrame, SmartObjects, SmartPanel, SmartQuery, SmartViewer, SmartWindow, Supermarket, SupportLink, Unite UX, and WebClient are trademarks or service marks of Progress Software Corporation and/or its subsidiaries or affiliates in the U.S. and other countries. Java is a registered trademark of Oracle and/or its affiliates. Any other marks contained herein may be trademarks of their respective owners.

Please refer to the NOTICE.txt or Release Notes – Third-Party Acknowledgements file applicable to a particular Progress product/hosted service offering release for any related required third-party acknowledgements.

Table of Contents

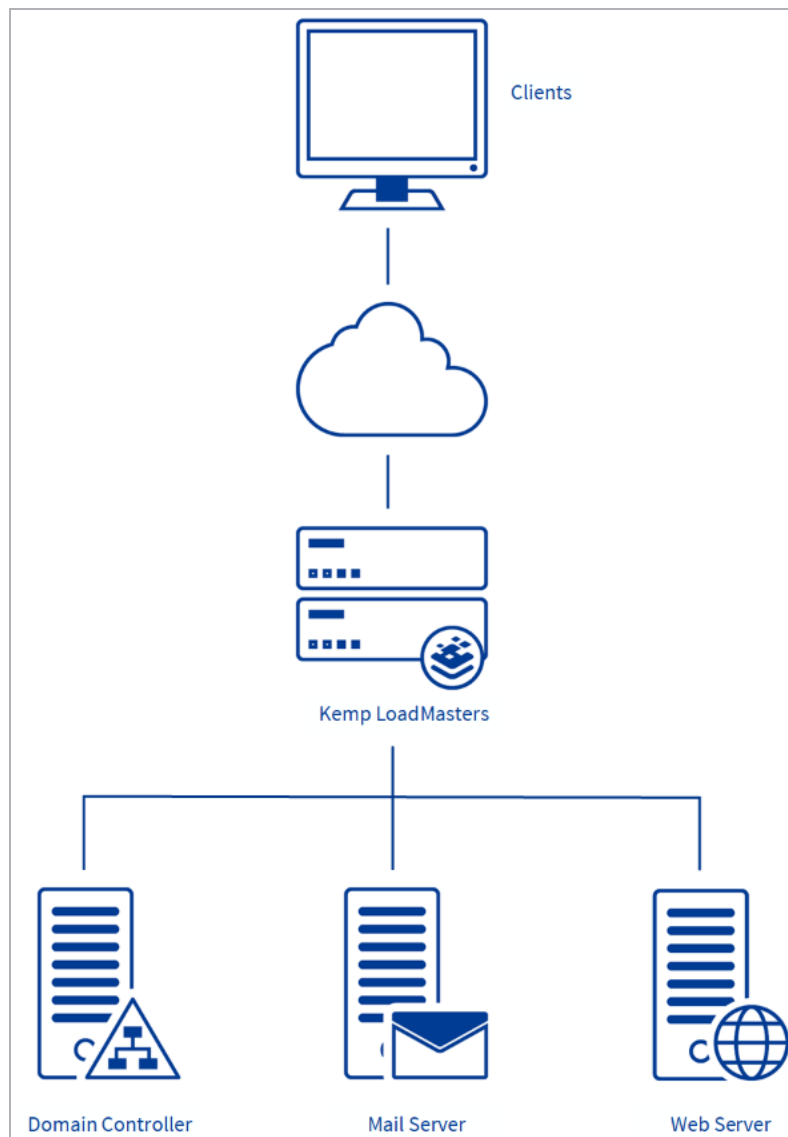
1 Introduction	4
1.1 Document Purpose	6
1.2 Intended Audience	6
2 Configure NTLM Authentication	7
2.1 Configure Internet Options on the Client Machine	7
2.2 Configure the LoadMaster	11
2.2.1 Enable NTLM Proxy Mode	13
2.2.2 Configure the Server Side SSO Domain	13
2.2.3 Configure the Client Side SSO Domain	15
2.2.4 Configure the Virtual Service	15
2.3 Configure Firefox to Allow NTLM (if needed)	18
2.4 Troubleshooting	19
2.5 JSON Web Token Validation	20
2.5.1 Configure JSON Web Token Validation	20
References	21
Last Updated Date	22

1 Introduction

NT LAN Manager (NTLM) is a Windows Challenge/Response authentication protocol that is often used on networks that include systems running the Windows operating system and Active Directory.

Kerberos authentication adds greater security than NTLM systems on a network and provides Windows-based systems with an integrated single sign-on (SSO) mechanism. While Kerberos is often the preferred authentication method, certain client/server scenarios may require NTLM, such as when a firewall is preventing access to Kerberos services.

NTLM credentials are based on data obtained during the interactive logon process and consist of a domain name, a user name. NTLM uses an encrypted challenge/response mechanism to authenticate a user without sending the user's password over the wire. Instead, the system requesting authentication must perform a calculation that proves it has access to the secured NTLM credentials. This process consist of three messages being exchanged, commonly referred to as Type 1 (negotiation), Type 2 (challenge) and Type 3 (authentication).



Interactive NTLM authentication over a network typically involves two systems: a client system, where the user is requesting authentication, and a domain controller, where information related to the user's password is kept. Non-interactive authentication, which may be required to permit an already logged-on user to access a resource such as a server application, typically involves three systems: a client, a server (typically an Exchange server) and a domain controller that does the authentication on behalf of the server.

The Edge Security Pack (ESP) on the Kemp LoadMaster supports multiple authentication methods including NTLM. This enables users to seamlessly authenticate to ESP-protected virtual services and be securely proxied to backend applications such as Microsoft Exchange and SharePoint.

1.1 Document Purpose

The purpose of this document is to provide step-by-step instructions on how to configure the LoadMaster to use NTLM authentication.

1.2 Intended Audience

This document is intended to be used by customers who are interested in finding out how to configure the LoadMaster to use NTLM authentication and who already have some understanding of the NTLM protocol.

2 Configure NTLM Authentication

A number of steps are required in order to set up and configure NTLM authentication with Kemp LoadMaster and ESP. Refer to the sections below for step-by-step instructions.

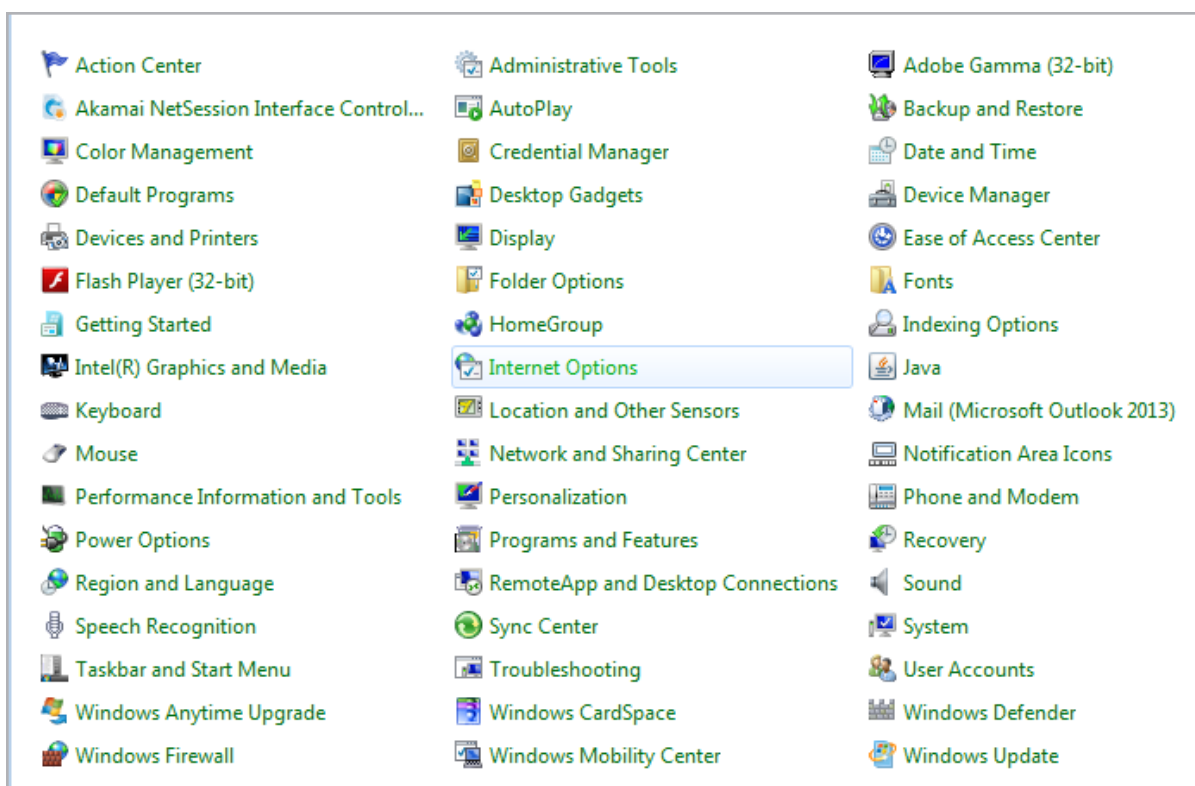
NTLM authentication on the LoadMaster does not work with some Windows 10 security software, such as Credential Guard, which are designed not to support NTLM. As stated in the Credentials Guard documentation: “When you enable Windows Defender Credential Guard, you can no longer use NTLM classic authentication for Single Sign-On.”

2.1 Configure Internet Options on the Client Machine

The security site address needs to be added to the local intranet zone on the client machine. To do this, follow the steps below:

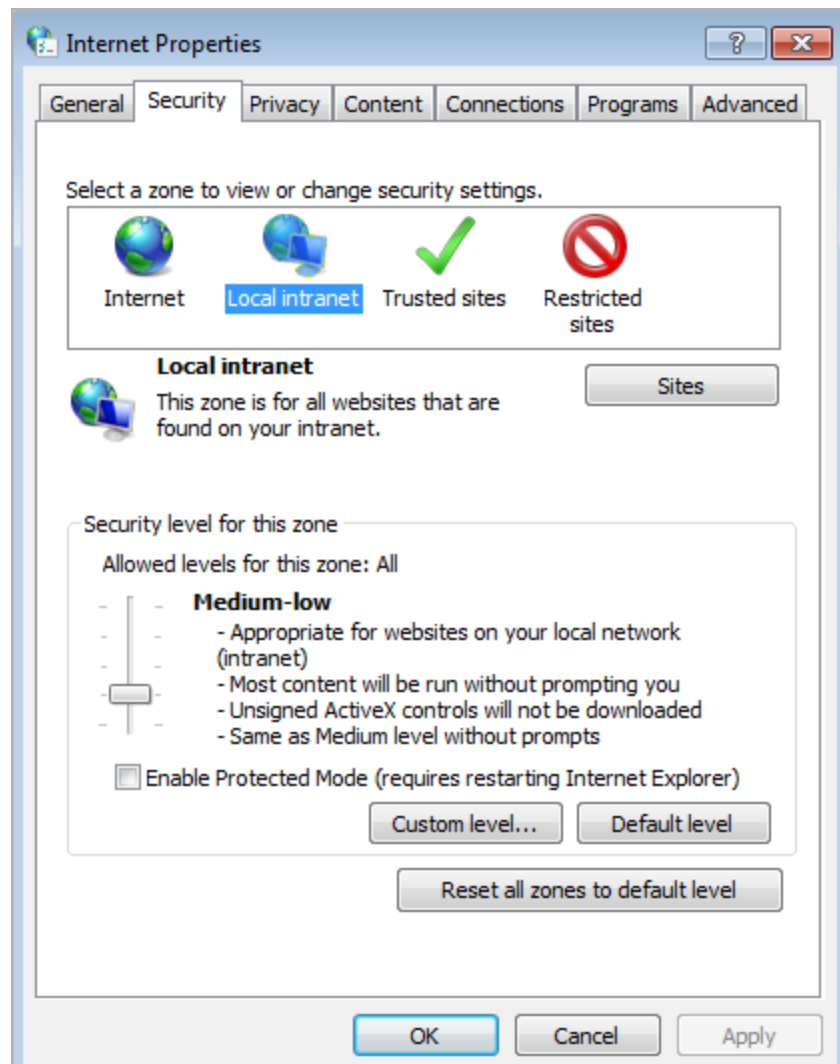
1. Click **Start** and select **Control Panel**.

2 Configure NTLM Authentication



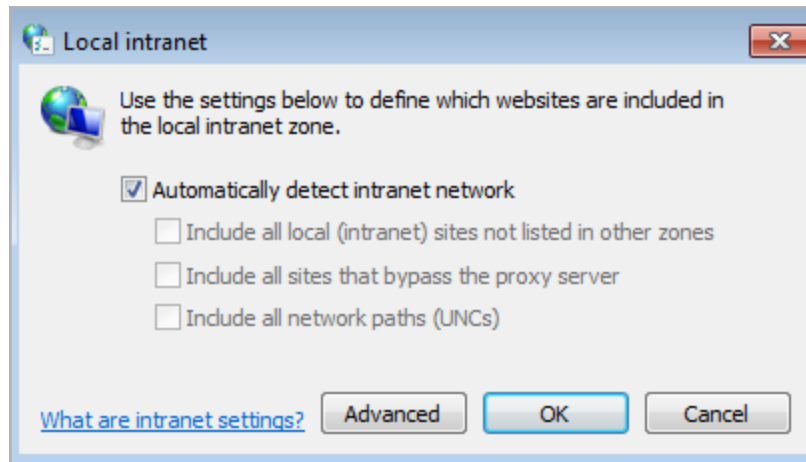
2. Click **Internet Options**.

2 Configure NTLM Authentication

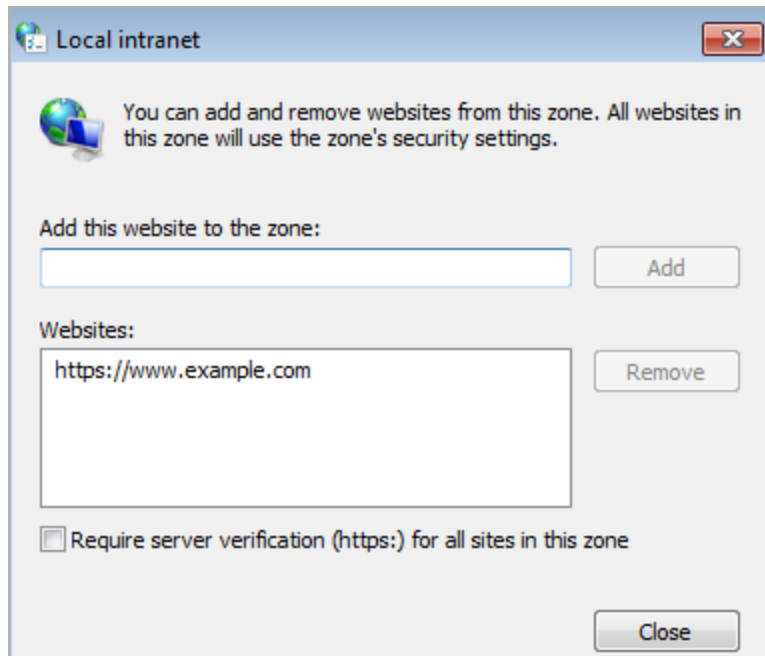


3. Select the **Security** tab.
4. Click **Local intranet**.
5. Click **Sites**.

2 Configure NTLM Authentication



6. Click **Advanced**.



7. Enter the address of the security site and click **Add**.

8. Click **Close**.

9. Click **OK**.

10. Click **OK** again.

2.2 Configure the LoadMaster

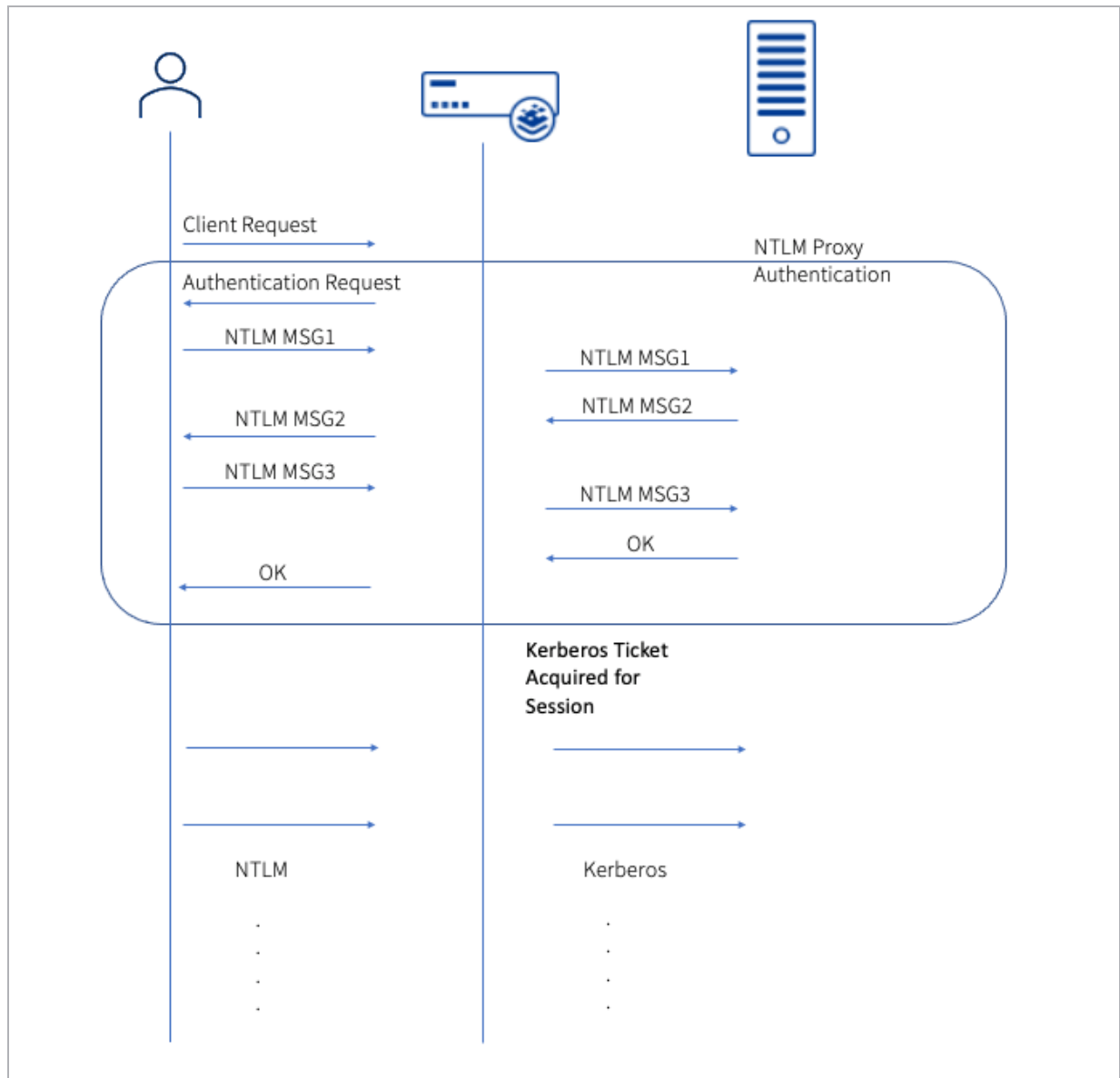
In LoadMaster firmware version 7.2.48.4 Long Term Support (LTS) and 7.2.53, a new **NTLM Proxy Mode** option was added to the LoadMaster. When upgrading from an older version of LoadMaster firmware to one of these versions (or above) the **NTLM Proxy Mode** option is not enabled by default. As a result, you must manually enable **NTLM Proxy Mode** after upgrading.

For all new deployments of LoadMasters after 7.2.48.4 LTS or 7.2.53 and above, **NTLM Proxy Mode** is enabled by default.

NTLM Proxy Mode increases the security of Client Authentication by proxying NTLM Authentication with the Real Server. Authentication is verified by validating that a successful NTLM handshake has taken place with the Real Server before performing the proceeding steps (such as performing the required Server Side Kerberos Authentication where the Server Side configuration is set to KCD). This requires that the Real Server support NTLM Authentication. The legacy “NTLM” user authentication mode verified user credentials through a configured LDAP endpoint. With **NTLM Proxy Mode**, the Client Side SSO configuration only requires an LDAP endpoint in the case where Permitted Groups or Steering Groups are in use.

For example, below is a diagram of a typical flow using NTLM Proxy Mode with Server Side Authentication of KCD.

2 Configure NTLM Authentication



Kemp highly recommends ensuring that **NTLM Proxy Mode** is enabled.

If you want to configure the following ESP fields, you must ensure **KCD** is set as the **Server Authentication Mode** and an LDAP End point is configured in the Client SSO configuration.:

- **Pre-Authorization Excluded Directories**
- **Permitted Groups**

2 Configure NTLM Authentication

- **Permitted Group SID(s)**
- **Include Nested Groups**
- **Steering Groups**

For instructions on how to add these SSO domains on the LoadMaster, refer to the sections below.

2.2.1 Enable NTLM Proxy Mode

To ensure **NTLM Proxy Mode** is enabled, follow these steps in the LoadMaster Web User Interface (WUI):

1. In the main menu, go to **System Configuration > Miscellaneous Options > L7 Configuration**.
2. Ensure **NTLM Proxy Mode** is enabled.

When **NTLM Proxy Mode** is enabled globally, the **Client Authentication Mode** in Virtual Services is called **NTLM-Proxy**. If **NTLM Proxy Mode** is disabled globally, the **Client Authentication Mode** in Virtual Services is called **NTLM**.

2.2.2 Configure the Server Side SSO Domain

To configure the server side SSO domain, follow the steps below in the LoadMaster WUI:

1. In the main menu, select **Virtual Services > Manage SSO**.

Add new Server Side Configuration

KCD.ESPTTEST.LOCAL
Add

Use AES256 SHA1 KCD cipher
☐

2. In the **Server Side Single Sign On Configurations** section, enter the name of the Single Sign On (SSO) domain in the **Name** text box and click **Add**.

Domain KCD.ESPTTEST.LOCAL			
Authentication Protocol	Kerberos Constrained Delegation ▼		
Kerberos Realm	ESPTTEST.LOCAL		Set Kerberos realm
Kerberos Key Distribution Center	10.154.30.81		Set Kerberos KDC
Kerberos Trusted User Name	lm60.esptest.local		Set KCD trusted user name
Kerberos Trusted User Password	*****		Set KCD trusted user password

3. Select **Kerberos Constrained Delegation** as the **Authentication Protocol**.

2 Configure NTLM Authentication

4. Enter the **Kerberos Realm** address and click **Set Kerberos realm**. Click **OK**.

The Kerberos realm is usually the domain. The Kerberos realm should be a name (not an IP address), such as **kemptech.local**. If an IP address is specified, authentication will not work. This field only accepts one name.

Double quotes are not allowed in this field.

5. Enter the **Kerberos Key Distribution Center name** and click **Set Kerberos KDC**. Click **OK**.

This field only accepts one Key Distribution Center. The Key Distribution Center address is usually the IP address of the Active Directory instance.

Double quotes are not allowed in this field.

6. Enter the **Kerberos Trusted User Name** and click **Set KCD** trusted user name. Click **OK**.

The **Kerberos Trusted User Name** needs to be the same as the LoadMaster host name. The trusted user represents the LoadMaster. Refer to the [Kerberos Constrained Delegation, Feature Description](#) document for some further key requirements relating to the trusted user account.

Double and single quotes are not allowed in the **Kerberos Trusted User Name** field.

7. Enter the **Kerberos Trusted User Password** and click **Set KCD** trusted user password. Click **OK**.

2 Configure NTLM Authentication

2.2.3 Configure the Client Side SSO Domain

Authentication Protocol	LDAP ▼		
LDAP Endpoint	LDAP_EXAMPLE ▼	Manage LDAP Configuration	
Domain/Realm	ESPTTEST.LOCAL	Set Domain/Realm Name	
Logon Format	Principalname ▼		
Logon Transcode	Disabled ▼		
Failed Login Attempts	0	Set Failed Login Attempts	
	Public - Untrusted Environment	Private - Trusted Environment	
	900	900	Set Idle Time
Session Timeout	1800	28800	Set Max Duration
	Use for Session Timeout: idle time ▼		
Use LDAP Endpoint for Healthcheck	<input checked="" type="checkbox"/>		

The client side SSO domain can be created by going to **Virtual Services > Manage SSO > Add** (in the **Client Side Single Sign On Configurations** section) and filling out the details as needed. The **Authentication Protocol** must be set to **LDAP** for NTLM authentication to work. An LDAP endpoint is required if Permitted Groups or Steering Groups are in use.

For information on configuring an LDAP endpoint, refer to the following knowledge base article: [How to Configure an LDAP Endpoint](#).

2.2.4 Configure the Virtual Service

To configure a Virtual Service to use NTLM authentication, follow the steps below.

These steps assume that the Virtual Service has already been set up and configured as needed (apart from the ESP settings). For further information on Virtual Services in general, refer to the [Virtual Services and Templates, Feature Description](#). For further information on the different fields in the LoadMaster WUI, please refer to the [Web User Interface \(WUI\), Configuration Guide](#).

1. In the main menu of the LoadMaster WUI, go to **Virtual Services > View/Modify Services**.
2. Expand the **ESP Options** section.

2 Configure NTLM Authentication

▼ ESP Options

Enable ESP ☒

ESP Logging User Access: ☒ Security: ☒ Connection: ☒

Client Authentication Mode **Form Based**

SSO Domain **EXAMPLE.COM**

Available Domain(s)
SECOND.COM
THIRD.COM

Assigned Domain(s)
None Assigned

Set Alternative SSO Domains

Allowed Virtual Hosts

Set Allowed Virtual Hosts

Allowed Virtual Directories **/***

Set Allowed Directories

Pre-Authorization Excluded Directories

Set Excluded Directories

Permitted Groups

Set Permitted Groups

Permitted Group SID(s)

Set Permitted Group SIDs

Include Nested Groups ☐

Steering Groups

Set Steering Groups

Verify Bearer Header ☐

SSO Image Set **Exchange**

SSO Greeting Message

Set SSO Greeting Message

Logoff String

Set SSO Logoff String

Display Public/Private Option ☒

Disable Password Form ☐

Enable Captcha ☐

Use Session or Permanent Cookies **Session Cookies Only**

User Password Change URL **https://serverlink**

Set Password Change URL

User Password Change Dialog Message **You must change your**

Set Dialog Message

User Password Expiry Warning ☐

Server Authentication Mode **None**

▼ ESP Options

Enable ESP ☒

ESP Logging User Access: ☒ Security: ☒ Connection: ☒

Client Authentication Mode **NTLM-Proxy**

SSO Domain **[No Domain Set]**

Allowed Virtual Hosts

Set Allowed Virtual Hosts

Allowed Virtual Directories

Set Allowed Directories

Pre-Authorization Excluded Directories

Set Excluded Directories

Permitted Groups

Set Permitted Groups

Permitted Group SID(s)

Set Permitted Group SIDs

Include Nested Groups ☐

Multi Domain Permitted Groups ☐

Steering Groups

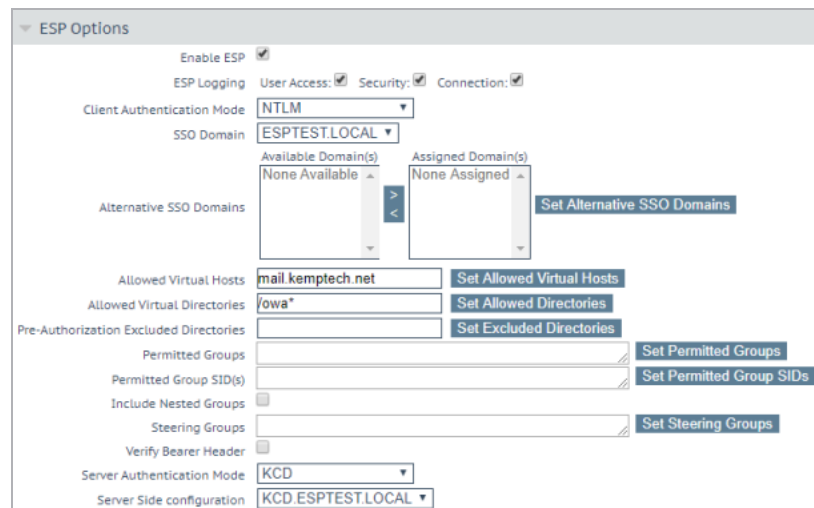
Set Steering Groups

Server Authentication Mode **KCD**

Server Side configuration **[No Domain Set]**

3. Expand the **ESP Options** section.

2 Configure NTLM Authentication



4. Select the **Enable ESP** check box to turn ESP on.
5. Select **NTLM** or **NTLM Proxy** as the **Client Authentication Mode**.
6. Select the client-side SSO domain that was created in the **Configure the Client Side SSO Domain** section in the **SSO Domain** drop-down list.
7. You can optionally assign **Alternative SSO Domains** if needed.
8. Set any **Allowed Virtual Hosts** and **Allowed Virtual Directories**, as needed.
9. Select the **Server Authentication Mode**.

You must ensure that NTLM is available as part of Integrated Windows Authentication (IWA) and that this is enabled on the Real Server for server-side authentication to work in both KCD and NTLM-Proxy Server Side authentication modes.

You must set the **Server Authentication Mode** to **KCD** and ensure there is an Server Side **SSO Domain** selected to use the following fields:

- **Pre-Authorization Excluded Directories**
 - **Permitted Groups**
 - **Permitted Group SID(s)**
 - **Include Nested Groups**
 - **Steering Groups**
-

2 Configure NTLM Authentication

10. Select the server-side SSO domain that was created in the **Configure the Server Side SSO Domain** section in the **Server Side configuration** drop-down list.
11. Configure any of the other ESP settings as needed.

For further information on the ESP WUI options and ESP in general, please refer to the [Edge Security Pack \(ESP\), Feature Description](#).

2.3 Configure Firefox to Allow NTLM (if needed)

In many organizations, Internet Explorer is configured to allow NTLM on internal sites, but Firefox is not. To configure Firefox to allow certain sites, follow the steps below:

1. Open Firefox.
2. In the address bar, type **about:config**.
3. A warning may appear, click the button to continue.

Search: network.automatic			
Preference Name	Status	Type	Value
network.automatic-ntlm-auth.allow-non-fqdn	default	boolean	false
network.automatic-ntlm-auth.allow-proxies	default	boolean	true
network.automatic-ntlm-auth.trusted-uris	default	string	

4. In the **Search** text box, enter **network.automatic**.
5. Double-click the network.automatic-ntlm-auth.trusted-uris entry.
6. Enter the relevant site address(s).

Multiple sites can be added by separating them with a comma.

7. Click **OK**.

Firefox may need to be restarted for the changes to take effect.

In some environments, the following three parameters might need to be updated:

- network.automatic-ntlm-auth.trusted-uris
- network.negotiate-auth.delegation-uris
- network.negotiate-auth.trusted-uris

2 Configure NTLM Authentication

Also, the **signon.autologin.proxy** may need to be changed to **true** (double-click the parameter to change the value).

2.4 Troubleshooting

When troubleshooting problems with NTLM authentication in the LoadMaster, it can be useful to look at the ESP logs.

ESP Options

Enable ESP ☒

ESP Logging

User Access: ☒ Security: ☒ Connection: ☒

Client Authentication Mode NTLM-Proxy

SSO Domain [No Domain Set]

Allowed Virtual Hosts

Set Allowed Virtual Hosts

Allowed Virtual Directories

Set Allowed Directories

Pre-Authorization Excluded Directories

Set Excluded Directories

Permitted Groups

Set Permitted Groups

Permitted Group SID(s)

Set Permitted Group SIDs

Include Nested Groups ☐

Multi Domain Permitted Groups ☐

Steering Groups

Set Steering Groups

Server Authentication Mode KCD

Server Side configuration [No Domain Set]

Various levels of ESP logs can be enabled per-Virtual Service by enabling the check boxes in the **ESP Logging** section.

File	Action	Selection
ESP Connection Log	View	▶
ESP Security Log	View	▶
ESP User Log	View	▶
WAF Audit Logs	View	▶
SSOMGR Audit Logs	View	▶
Clear Extended Logs	Clear	▶
Save Extended Logs	Save	▶

These logs can then be viewed by going to **System Configuration > Logging Options > Extended Log Files**. For further information on the ESP logging, refer to the [Edge Security Pack \(ESP\), Feature Description](#).

2.5 JSON Web Token Validation

When Utilising NTLM as a pre-authentication protocol, the JWT validation feature may be utilised to ensure only requests containing specific JWT tokens are accepted. This may be utilised on top of NTLM to provide extra authorisation of requests.

2.5.1 Configure JSON Web Token Validation

To configure JSON web token validation, follow the steps below:

1. In the LoadMaster WUI, go to **Virtual Services > View/Modify Services** and click **Modify** on the relevant Virtual Service.
2. Expand the **ESP Options** section.
3. Ensure **NTLM** is selected as the **Client Authentication Mode**.
4. Configure any other settings as needed and as detailed throughout this document.
5. Select the **Verify Bearer Header** check box.
6. Select the relevant certificate/key used to decrypt the bearer header token from the **Bearer Header Validation Certificate** drop-down list (this must first be uploaded to the LoadMaster by going to **Certificates & Security > SSL Certificates > Import Certificate**).
7. You can enter up to five bearer header validation strings (comma-separated list) in the **Bearer Header Validation Text** field.

References

Unless otherwise specified, the following documents can be found at <http://kemptechnologies.com/documentation>.

Edge Security Pack (ESP), Feature Description

Web User Interface (WUI), Configuration Guide

Virtual Services and Templates, Feature Description

Kerberos Constrained Delegation, Feature Description

Last Updated Date

This document was last updated on 29 July 2023.