



# WAF Rule Writing Guide

## Technical Note

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# 1 Introduction

Kemp Web Application Firewall (WAF) services are natively integrated in the Kemp LoadMaster. This enables secure deployment of web applications, preventing Layer 7 attacks while maintaining core load balancing services which ensures superior application delivery and security. WAF functionality directly augments the LoadMaster's existing security features to create a layered defence for web applications - enabling a safe, compliant and productive use of published services.

If you have a WAF license and WAF Support, Kemp provides a number of commercial rules, such as **ip\_reputation**. These commercial rules are targeted to protect against specific threats. The Kemp-provided commercial rules are available when signed up to a WAF subscription.

You can also upload other rules such as the ModSecurity core rule set which contains generic attack detection rules that provide a base level of protection for any web application.

You can also write and upload your own custom rules, if required.

With the WAF-enabled LoadMaster, you can choose whether to use Kemp-provided rules, custom rules which can be uploaded or a combination of both.

For a more detailed overview of the WAF feature, please refer to the WAF section in the [Kemp LoadMaster, Product Overview](#).

For instructions on how to configure the various WAF options in the LoadMaster, refer to the [Kemp Web Application Firewall, Feature Description](#).

## 1.1 Document Purpose

The purpose of this document is to provide some guidance on how to write your own custom WAF rules. These custom rules can be uploaded to the LoadMaster and assigned to Virtual Services as needed.

## 1.2 Intended Audience

This document is intended to be read by anyone who is interested in finding out more about how to write custom WAF rules.

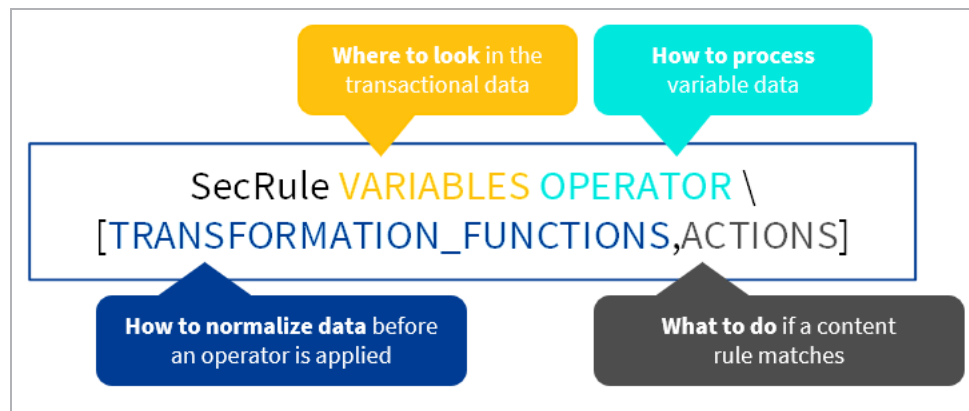
# 2 ModSecurity Rule Writing

The ModSecurity Reference Manual should be consulted in any cases where questions arise relating to the syntax of commands: <https://github.com/SpiderLabs/ModSecurity/wiki/Reference-Manual>

In terms of rule writing, the main directive to know is SecRule, which is used to create rules and thus does most of the work.

Every rule defined by SecRule conforms to the same format, as below:

```
SecRule VARIABLES OPERATOR \ [TRANSFORMATION_FUNCTIONS,ACTIONS]
```



The rule consists of four parts:

- **VARIABLES:** Tells the WAF engine where to look in the transactional data.
- **OPERATOR:** Tells the WAF engine how to process the variable data.
- **TRANSFORMATION\_FUNCTIONS:** Tells the WAF engine how to normalize data before an operator is applied.
- **ACTIONS:** Tells the WAF engine what to do if a rule matches.

The four parts are explained in the sections below.

## 2.1 Variables

This specifies which places to check in a HTTP transaction. Examples of variables include:

- **ARGS** – all arguments including the POST payload
- **REQUEST\_METHOD** – request method used in the transaction

- **REQUEST\_HEADERS** – can be used as either a collection of all of the request headers or can be used to inspect selected headers
- Etc. The full list of variables is available here:  
<https://github.com/SpiderLabs/ModSecurity/wiki/Reference-Manual#Variables>

## 2.2 Operator

This specifies a regular expression, pattern or keyword to be checked in the variable(s). Operators begin with the @ character. The full list of operators is available here:

<https://github.com/SpiderLabs/ModSecurity/wiki/Reference-Manual#Operators>

## 2.3 Transformation Functions

There are a number of transformation functions that can be performed, for example:

- Anti-evasion (such as lowercase, normalisePath, removeNulls, replaceComments, compressWhitespace)
- Decoding (such as base64Decode, hexDecode, jsDecode, urlDecodeUni)
- Encoding (such as base64Encode, hexEncode)
- Hashing (such as sha1, md5)

## 2.4 Actions

This specifies what to do if the rule matches. Actions are defined in seven categories, listed below:

- **Disruptive** – used to allow ModSecurity to take an action, for example allow or block
- **Flow** – affect the flow, for example skip
- **Meta-data** – used to provide more information about rules
- **Variable** – used to set, change and remove variables
- **Logging** – used to influence the way logging takes place
- **Special** – used to provide access to another class of functionality
- **Miscellaneous** – contain actions that do not belong in any other groups.

If no actions are provided, default actions apply as per **SecDefaultAction** (**phase:2,log,auditlog,pass**). The full list of actions are available here:

<https://github.com/SpiderLabs/ModSecurity/wiki/Reference-Manual#Actions>

When constructing the rules, you can specify at what phase the rule should run. Specifying the correct phase can be beneficial in order to reduce CPU processing.

## 2.5 Rule Syntax

The following rule looks at the request Uniform Resource Identifier (URI) and tries to match the regular expression pattern `<script>` against it. The double quotes are used because the second parameter contains a space:

```
SecRule REQUEST_URI "@rx <script>"
```

To split a long line into two, use a single backslash character, followed by a new line:

```
SecRule ARGS KEYWORD \  
phase:1,t:none,block
```

Multiple variables can be used in a rule as long as they are separated using the pipe character, for example:

```
SecRule REQUEST_URI|REQUEST_PROTOCOL <script>
```

The **SecDefaultAction** directive is used if no actions are defined for a rule. For example, the following rule:

```
SecRule ARGS D1
```

Is equivalent to:

```
SecRule ARGS D1 phase2:log:auditlog,pass
```

### 2.5.1 Rule Example 1 – Cross Site Scripting (XSS) Attack

The following rule is used to avoid XSS attacks by checking for a `<script>` pattern in the request parameters and header and generates an 'XSS Attack' message with a 404 status response.

```
SecRule ARGS|REQUEST_HEADERS "@rx <script>" id:101,msg: 'XSS  
Attack',severity:ERROR,deny,status:404
```

#### 2.5.1.1 Variables

Details about the variables in this rule example are in the table below:

Variable	Definition
ARGS	Request parameters
REQUEST_HEADERS	All of the request headers

### 2.5.1.2 Operator

“@rx <script>” – Performs a regular expression match of the pattern (in this case <script>) provided as a parameter.

### 2.5.1.3 Actions

Details of the actions contained in this rule example are provided in the table below:

Action(s)	Description
id, msg, severity, deny, status	These are all of the actions to be performed if the pattern is matched.
id:101	The unique ID that is assigned to the rule (or chain) in which it appears.
msg: “XSS Attack”	The custom message (i.e. XSS Attack) assigned to the rule (or chain) in which it appears.
Severity:ERROR	<p>The severity of the rule. Severities include:</p> <ul style="list-style-type: none"> <li>EMERGENCY (0)</li> <li>ALERT (1)</li> <li>CRITICAL (2)</li> <li>ERROR (3)</li> <li>WARNING (4)</li> <li>NOTICE (5)</li> <li>INFO (6)</li> <li>DEBUG (7)</li> </ul>
deny	This stops rule processing and intercepts transaction. This is a disruptive action.
status:404	This specifies the response status code (404) with actions deny and redirect.

### 2.5.2 Rule Example 2 – Whitelist IP Address

The following example shows how to whitelist an IP address to bypass the ModSecurity engine:

```
SecRule REMOTE_ADDR "@ipMatch 192.168.1.101" \
id:102,phase:1,t:none,nolog,pass,ctl:ruleEngine=off
```

### 2.5.2.1 Variables

**Variable Name:** REMOTE\_ADDR

**Variable Definition:** The IP address of the remote client

### 2.5.2.2 Operator

**“@ipMatch 192.168.1.101”** – Performs an IPv4 or IPv6 match of the REMOTE\_ADDR variable data. In this case – this is the whitelisted IP address.

### 2.5.2.3 Actions

Action(s)	Description
id:101	The unique ID that is assigned to the rule (or chain) in which it appears.
phase:1	Places the rule (or chain) in Phase 1 processing. There are five phases, including: <ul style="list-style-type: none"> <li>Request Headers (1)</li> <li>Request Body (2)</li> <li>Response Headers (3)</li> <li>Response Body (4)</li> <li>Logging (5)</li> </ul>
t:none	Indicates that no action is used to transform the value of the variable used in the rule before matching. For example, <b>t:utf8toUnicode</b> converts all UTF-8 character sequences to Unicode to assist in input normalization.
nolog	Prevents rule matches from appearing in both the error and audit logs.
pass	Continues processing with the next rule in spite of a successful match.
ctl:ruleEngine=off	This action changes ModSecurity configuration on a transient, per-transaction basis. This only affects the transaction in which the action is executed. In this case, the ModSecurity rule engine is turned off.

### 2.5.3 Rule Example 3 – Chaining Rules

Chained rules allow for more complex rule matches where a number of different VARIABLES are used to create a better rule and to help prevent false positives. In programming language concepts – think of chained rules as somewhat similar to AND conditional statements. The actions specified in the first portion of the chained rule will only be triggered if all of the variable checks return positive hits. If one aspect of the chained rule is negative, then the entire rule chain is negative. The most unique portion should be specified on the first line – this will reduce the number of “normal” requests that will have to be evaluated against the rest of the chained rule set.

In addition to using a number of different VARIABLES in the one rule, it is also possible to chain more than one rule. Below is an example of chaining two rules. In this example, the first rule checks if the username (**ARGS:username**) for the string admin (**streq admin**) using a string comparison. If the first rule holds true, the second rule is activated which denies all requests that are not from the REMOTE\_ADDR 192.168.1.111 IP Address (**!streq 192.168.1.111**).

```
SecRule ARGS:username "@streq admin" chain,deny
SecRule REMOTE_ADDR "!streq 192.168.1.111"
```

### 2.5.4 Rule Example 4 – Shellshock Bash Attack

This section shows an example of the rules requires to mitigate the Shellshock Bash attack. There are two rules needed in this case. Details of both rules are provided in the sections below.

#### 2.5.4.1 First Rule

This is the first rule:

```
SecRule REQUEST_LINE|REQUEST_HEADERS|REQUEST_HEADERS_NAMES "@contains () {"
"phase:1,id:'2100080',block,t:none,t:utf8toUnicode,t:urlDecodeUni,t:compresswhitespace
,msg:'SLR: Bash ENV Variable Injection Attack',tag:'CVE-2014-
6271',tag:'http://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2014-
6271',tag:'https://securityblog.redhat.com/2014/09/24/bash-specially-crafted-
environment-variables-code-injection-attack/'"
```

##### 2.5.4.1.1 Variables

Details about the variables in this example rule are provided in the table below:

Variable	Definition
REQUEST_LINE	This variable holds the complete request line sent to the server (including the request method and HTTP version information).
REQUEST_HEADERS	All of the request headers
REQUEST_HEADERS_NAMES	All of the names of the request headers.

## 2.5.4.1.2 Operator

"@contains () {" – Checks the REQUEST\_LINE|REQUEST\_HEADERS|REQUEST\_HEADERS\_NAMES variables for the string '()' {' and returns true if found.

## 2.5.4.1.3 Actions

Action(s)	Description
phase:1	<p>Places the rule (or chain) in Phase 1 processing. There are five phases, including:</p> <ul style="list-style-type: none"> <li>Request Headers (1)</li> <li>Request Body (2)</li> <li>Response Headers (3)</li> <li>Response Body (4)</li> <li>Logging (5)</li> </ul>
id:'2100080'	The unique ID that is assigned to this rule (or chain) in which it appears.
block	<p>This performs the disruptive action defined by the previous SecDefaultAction. This allows rule writers to request a blocking action without specifying how the blocking is to be done. The <b>SecRuleUpdateActionById</b> directive allows you to override how a rule handles blocking. Please refer to the <b>Rule Block Function</b> section for further details.</p>
t:none	Indicates that no action is used to transform the value of the variable used in the rule before matching.

Action(s)	Description
<code>t:utf8toUnicode</code>	Converts all UTF-8 character sequences to Unicode to assist in input normalization.
<code>t:urlDecodeUni</code>	Decodes a URL-encoded input string with support for the Microsoft-specific %u encoding.
<code>t:compresswhitespace</code>	Converts any of the whitespace characters (0x20, \f, \t, \n, \r, \v, 0xa0) to spaces (ASCII 0x20), compressing multiple consecutive space characters into one.
<code>msg:'SLR: Bash ENV Variable Injection Attack',tag:'CVE-2014-6271'</code>	The custom message (i.e. XSS Attack) assigned to the rule (or chain) in which it appears.
<code>tag:'http://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2014-6271'</code> <code>tag:'https://securityblog.redhat.com/2014/09/24/bash-specially-crafted-environment-variables-code-injection-attack/'</code>	Assigns a tag (category) to a rule (or chain). This is metadata allows easy automated categorization of events. Multiple tags can be specified on the same rule.

#### 2.5.4.2 Second Rule

The second rule is as follows:

```
SecRule REQUEST_BODY "@contains () {"
"phase:2,id:'2100081',block,t:none,t:utf8toUnicode,t:urlDecodeUni,t:compresswhitespace,
msg:'SLR: Bash ENV Variable Injection Attack',tag:'CVE-2014-6271',tag:'http://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2014-6271',tag:'https://securityblog.redhat.com/2014/09/24/bash-specially-crafted-environment-variables-code-injection-attack/'"
```

##### 2.5.4.2.1 Variables

**Variable Name:** REQUEST\_BODY

**Variable Definition:** All of the request body.

## 2.5.4.2.2 Operator

**"@contains () {"** – Checks the **REQUEST\_BODY** variable for the string **'() {'** and returns true if found.

## 2.5.4.2.3 Actions

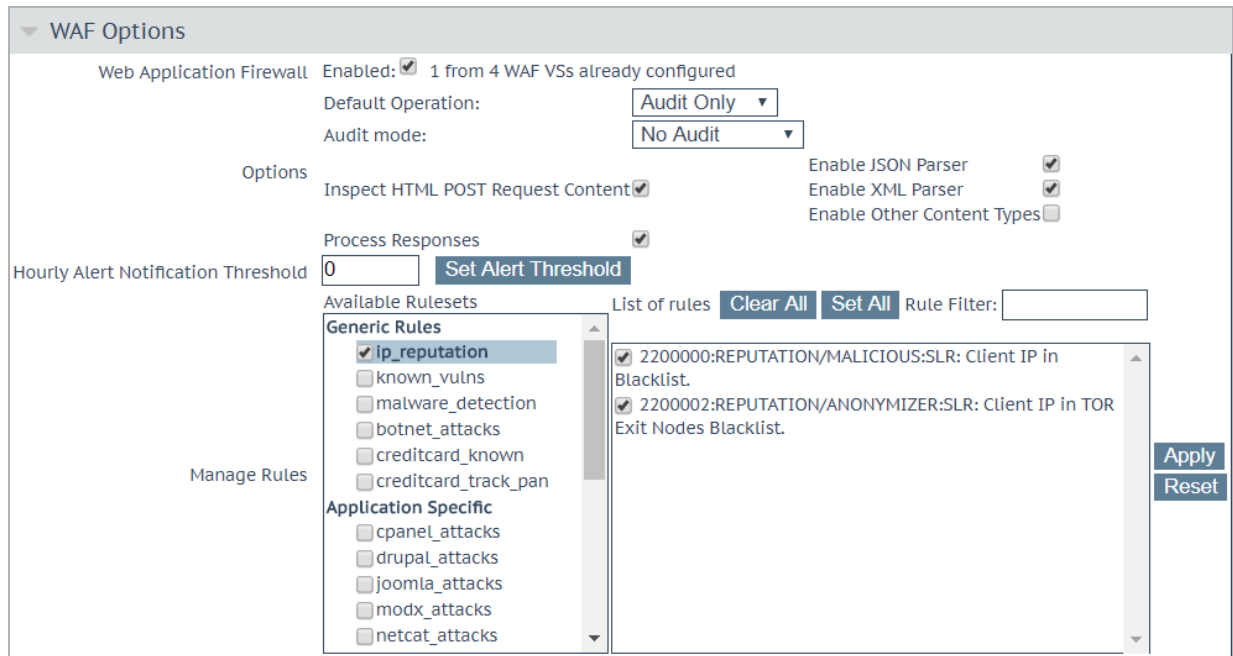
Action(s)	Description
phase:2	<p>Places the rule (or chain) in Phase 2 processing. There are five phases, including:</p> <ul style="list-style-type: none"> <li>Request Headers (1)</li> <li>Request Body (2)</li> <li>Response Headers (3)</li> <li>Response Body (4)</li> <li>Logging (5)</li> </ul>
id: '2100081'	The unique ID that is assigned to this rule (or chain) in which it appears.
block	<p>This performs the disruptive action defined by the previous SecDefaultAction. This allows rule writers to request a blocking action, but without specifying how the blocking is to be done. The <b>SecRuleUpdateActionById</b> directive allows you to override how a rule handles blocking. Please refer to the <b>Rule Block Function</b> section for further details.</p>
t: none	Indicates that no action is used to transform the value of the variable used in the rule before matching.

Action(s)	Description
<code>t:utf8toUnicode</code>	Converts all UTF-8 character sequences to Unicode to assist in input normalization.
<code>t:urlDecodeUni</code>	Decodes a URL-encoded input string with support for the Microsoft-specific %u encoding.
<code>t:compresswhitespace</code>	Converts any of the whitespace characters (0x20, \f, \t, \n, \r, \v, 0xa0) to spaces (ASCII 0x20), compressing multiple consecutive space characters into one.
<code>msg:'SLR: Bash ENV Variable Injection Attack',tag:'CVE-2014-6271'</code>	The custom message (i.e. XSS Attack) assigned to the rule (or chain) in which it appears.
<code>tag:'http://cve.mitre.org/cgi-bin/cvename.cgi?name=CVE-2014-6271'</code> <code>tag:'https://securityblog.redhat.com/2014/09/24/bash-specially-crafted-environment-variables-code-injection-attack/'</code>	Assigns a tag (category) to a rule (or chain). This is metadata which allows easy automated categorization of events. Multiple tags can be specified on the same rule.

## 2.6 Kemp WUI Settings

In the LoadMaster Web User Interface (WUI), WAF settings can be configured for each individual Virtual Service.

## 2 ModSecurity Rule Writing



In the **WAF Options** section of the Virtual Service modify screen (**Virtual Services > View/Modify Services > Modify**), there is a drop-down list called **Default Operation**. The **Default Operation** can be set to **Audit Only** or **Block Mode**.

The **Audit Only** mode of operation sets the **SecDefaultAction** to **phase:2,log,auditlog,pass**.

The **Block Mode** of operation sets the **SecDefaultAction** to **phase:2,log,auditlog,block,drop**.

## 2.7 Rule Block Function

The rule block function is quite complicated. This section offers further explanation of the rule block function. The following example has been taken from

<https://github.com/Spiderlabs/ModSecurity/wiki/Reference-Manual#block> and further explanatory text has been added.

The block action is essentially a placeholder that is intended to be used by rule writes to request a blocking action, but without specifying how the blocking is to be done. The **SecDefaultAction** command specifies how the blocking is to be done. The block action is a placeholder that will be replaced by the action from the last **SecDefaultAction** in the same context.

### Block Example 1

The following example shows the **SecDefaultAction** set to **deny**. The second rule will “deny” because the **SecDefaultAction** is set to **deny**.

```
SecDefaultAction phase:2,deny,id:101,status:403,log,auditlog
SecRule ARGS attack2 phase:2,pass,id:103
SecRule ARGS attack1 phase:2,block,id:102
```

### Block Example 2

The following example shows the usage of the **SecRuleUpdateActionById** command to override how a rule handles blocking. The **SecRuleUpdateActionById** command allows a rule to be reverted back to the previous **SecDefaultAction**. In this example, the first rule (**SecRule ARGS attack1 phase:2,deny,id:1**) would deny based on meeting the successful conditions associated with the rule.

By using the **SecRuleUpdateActionById** against rule **Id 1** and indicating block, we are associating the first rule action to that of the **SecDefaultAction** which is pass. So in the case, the first rule would pass based on meeting the successful conditions associated with the rule; it would not deny.

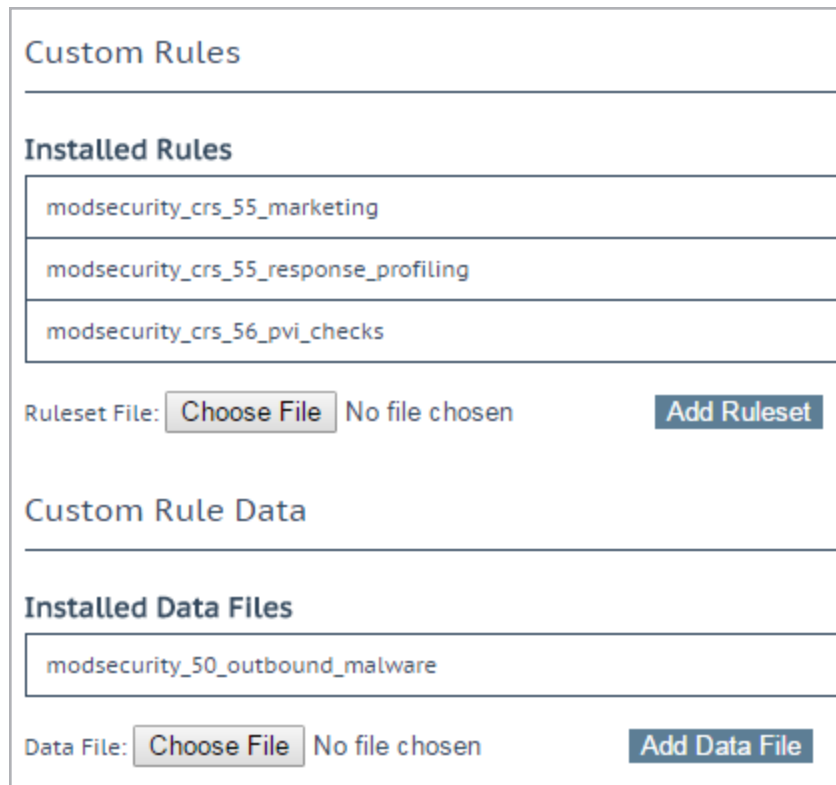
```
SecDefaultAction phase:2,pass,log,auditlog
SecRule ARGS attack1 phase:2,deny,id:1
SecRuleUpdateActionById 1 block
```

# 3 Managing Custom WAF Rules in the LoadMaster

## 3.1 Add a Custom Rule

Follow the steps below to find out how to add custom WAF rules in the Web User Interface (WUI) of the LoadMaster:

1. In the main menu, select **Virtual Services > WAF Settings**.



The screenshot shows the 'Custom Rules' section of the WAF settings. It is divided into two main parts: 'Installed Rules' and 'Custom Rule Data'. The 'Installed Rules' section contains a list of three rules: 'modsecurity\_crs\_55\_marketing', 'modsecurity\_crs\_55\_response\_profiling', and 'modsecurity\_crs\_56\_pvi\_checks'. Below this list is a 'Ruleset File:' label, a 'Choose File' button, the text 'No file chosen', and an 'Add Ruleset' button. The 'Custom Rule Data' section contains a list of one data file: 'modsecurity\_50\_outbound\_malware'. Below this list is a 'Data File:' label, a 'Choose File' button, the text 'No file chosen', and an 'Add Data File' button.

2. To upload custom rules, click **Choose File** in the **Installed Rules** section.

Individual rules can be uploaded as .conf files, or you can load a package of rules in a tar.gz file.

3. Browse to and select the rules to be uploaded.

4. To upload any additional data files, click **Choose File** in the **Custom Rule Data** section.

---

The additional files are for the rules' associated data files. If using a Tarball, the rules and data files can be packaged together.

---

5. Browse to and select the additional data files.

6. Click **Add Ruleset**.

The rules will now be available to assign within the Virtual Services modify screen (**Virtual Services > View/Modify Services > Modify**). Refer to the **Assigning Custom Rules to a Virtual Service** section to find out how to configure the Virtual Service.

## 3.2 Delete/Download a Custom Rule or Data File

Installed Rules	Installed Date	Operation
modsecurity_crs_20_protocol_violations	Wed, 02 Sep 2015 09:11:56	<a href="#">Delete</a> <a href="#">Download</a>

Custom rules and data files can be deleted or downloaded by clicking the relevant buttons.

---

If a rule is assigned to a Virtual Service, it will not be available for deletion.

---

# 4 Assigning Custom Rules to a Virtual Service

Custom rules can be assigned as needed to each individual Virtual Service. Follow the steps below to assign.

1. In the main menu of the LoadMaster WUI, select **Virtual Services > View/Modify Services**.

Status	Real Servers	Operation
<span style="color: green;">●</span> Up	10.154.201.2	<a href="#">Modify</a> <a href="#">Delete</a>

2. Click **Modify** on the relevant Virtual Service.
3. Expand the **WAF Options** section.

▼ WAF Options

Web Application Firewall

Enabled: ☒ 1 from 4 WAF VSs already configured

Default Operation: Block Mode ▼

Audit mode: Audit Relevant ▼

Options

Inspect HTML POST Request Content ☒

Process Responses ☒

Hourly Alert Notification Threshold 0 Set Alert Threshold

Available Rulesets

Generic Rules

☒ ip\_reputation
 ☐ known\_vulns
 ☒ malware\_detection
 ☒ botnet\_attacks
 ☐ creditcard\_known
 ☐ creditcard\_track\_pan

Application Specific

☐ cpanel\_attacks
 ☐ drupal\_attacks
 ☐ Joomla\_attacks
 ☐ modx\_attacks
 ☐ netcat\_attacks

List of rules

Clear All
Set All
Rule Filter:

☐ 2200924:SLR/MALICIOUS\_SOFTWARE/BOTNET:SLR: Common IRC Botnet Attack Command String Identified
 ☐ 2250117:SLR/WEB\_ATTACK/RFI:Remote File Inclusion Attack
 ☒ 2250118:SLR/WEB\_ATTACK/RFI:Remote File Inclusion Attack
 ☒ 2250119:SLR/WEB\_ATTACK/RFI:Remote File Inclusion Attack
 ☒ 2250120:SLR/WEB\_ATTACK/LFI:Local File Inclusion (LFI) Attack
 ☐ 2250121:SLR/WEB\_ATTACK/LFI:Local File Inclusion (LFI) ENV Attack in User-Agent
 ☐ 2250122:SLR/WEB\_ATTACK/PHP\_INJECTION:e107 PHP

Apply
Reset

4. Select **Enabled**.

---

A message will be displayed next to the **Enabled** check box displaying how many WAF-enabled Virtual Services exist and it will also display the maximum number of WAF-enabled Virtual Services that can exist. If the maximum number of WAF-enabled Virtual Services have been reached, the **Enabled** check box will be greyed out.

---

5. Assign rulesets by ticking them in the **Available Rulesets** section.
6. Individual rules can be enabled/disabled per ruleset by ticking/unticking them in the box on the right.  
Rules can be filtered by entering a filter term in the **Rule Filter** text box.  
Clicking **Clear All** will disable all rules for the selected ruleset.  
Clicking **Set All** will enable all rules for the selected ruleset.  
Clicking the **Reset** button will disable all rules and rulesets.
7. When finished enabling/disabling the relevant rulesets and rules, click **Apply**.

## 4.1 WAF Misconfigured State



On the **View/Modify Services** screen in the LoadMaster WUI, the **Status** of each Virtual Service is displayed. If the WAF for a particular Virtual Service is misconfigured, for example if there is an issue with a rule file, the status changes to **WAF Misconfigured** and turns to red. If the Virtual Service is in this state, all traffic is blocked. WAF can be disabled for that Virtual Service to stop the traffic being blocked, if required, while troubleshooting the problem.

# 5 Backing Up and Restoring WAF Configuration

### Restore Backup

Backup File

Choose File

No file chosen

LoadMaster Base Configuration

☒

VS Configuration

☒

Geo Configuration

☐

ESP SSO Configuration

☐

Restore Configuration

A backup of the LoadMaster configuration can be taken by going to **System Administration > Backup/Restore** and clicking **Create Backup File**.

The configuration can be restored from this screen also. Please keep in mind that the Virtual Service settings can be restored by selecting **VS Configuration** and the rules can be restored by selecting **LoadMaster Base Configuration**.

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A WAF configuration can only be restored onto a LoadMaster with an WAF license.

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# References

Unless otherwise specified, the following documents can be found at <http://kemptechnologies.com/documentation>.

**ModSecurity Reference Manual**

<https://github.com/SpiderLabs/ModSecurity/wiki/Reference-Manual>

**Kemp Web Application Firewall, Feature Description**

**Kemp LoadMaster, Product Overview**

# Last Updated Date

This document was last updated on 28 July 2023.